

# A Multi-Dimensional Assessment of Ecosystems and Ecosystem Services in Barshong, Bhutan



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The International Centre for Integrated Mountain Development (ICIMOD), is a regional knowledge development and learning centre serving the eight regional member countries of the Hindu Kush Himalaya – Afghanistan, Bangladesh, Bhutan, China, India, Myanmar, Nepal, and Pakistan – and based in Kathmandu, Nepal. Globalisation and climate change have an increasing influence on the stability of fragile mountain ecosystems and the livelihoods of mountain people. ICIMOD aims to assist mountain people to understand these changes, adapt to them, and make the most of new opportunities, while addressing upstream-downstream issues. We support regional transboundary programmes through partnership with regional partner institutions, facilitate the exchange of experience, and serve as a regional knowledge hub. We strengthen networking among regional and global centres of excellence. Overall, we are working to develop an economically and environmentally sound mountain ecosystem to improve the living standards of mountain populations and to sustain vital ecosystem services for the billions of people living downstream – now, and for the future.



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ICIMOD gratefully acknowledges the support of its core donors: the Governments of Afghanistan, Australia, Austria, Bangladesh, Bhutan, China, India, Myanmar, Nepal, Norway, Pakistan, Switzerland, and the United Kingdom.

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**Published by**

International Centre for Integrated Mountain Development  
GPO Box 3226, Kathmandu, Nepal

**ISBN** 978 92 9115 477 7 (printed) 978 92 9115 478 4 (electronic)

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**Printed and bound in Nepal by**

Hill Side Press (P) Ltd., Kathmandu, Nepal

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**Note**

This publication is available in electronic form at [www.icimod.org/himaldoc](http://www.icimod.org/himaldoc)

**Citation:** ICIMOD and RSPN (2017) *A multi-dimensional assessment of ecosystems and ecosystem services in Barshong, Bhutan*. ICIMOD Working Paper 2017/6. Kathmandu: ICIMOD

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# Acknowledgements

We would like to express our gratitude to Dr David Molden, Director General of ICIMOD, for his inspiration and for providing the required facilities for this research. We are thankful to Dasho Tenzin Dhendup, Secretary of the Ministry of Agriculture and Forest, Royal Government of Bhutan, for his support. We are also thankful to the Policy and Planning Division, Ministry of Agriculture and Forests, Royal Government of Bhutan for their input. We express our sincere gratitude to the Dzongkhag Administration of Tsirang and the officials of Barshong Gewog for their support and tireless commitment in carrying out this assessment, both in terms of data and human resources.

This research was made possible by the untiring efforts of the team from ICIMOD and RSPN (named in the contributors section). In addition, we acknowledge the financial support provided by the European Union through the Himalica programme to carry out this study.

Warm thanks goes to Susan Sellars-Shrestha (consultant editor), Christopher Butler (ICIMOD) and Philippus Wester (ICIMOD) for bringing the manuscript into its present form. Thanks also goes to Dharma R Maharjan for his hard work in laying out the manuscript and to Asha Kaji Thaku for his editorial assistance.

# Acronyms and Abbreviations

BTN	Bhutanese ngultrum
GIS	geographic information system
HH	household
Himalica	Support to Rural Livelihoods and Climate Change Adaptation in the Himalaya
HKH	Hindu Kush Himalayas/Himalayan
ICIMOD	International Centre for Integrated Mountain Development
masl	Mean average sea level
NDVI	Normalized Difference Vegetation Index
NSB	National Statistics Bureau
PES	payment for ecosystem services
PRA	participatory rural appraisal
RGoB	Royal Government of Bhutan
RS	remote sensing
RSPN	Royal Society for Protection of Nature
SPSS	Statistical Package for Social Sciences
USD	United States dollar
WTP	willingness to pay

## **Conversion factors:**

Area conversion: 1 ha = 2.471 acre

Currency conversion: 1 USD = 68.60 BTN

# Executive Summary

Occupying nearly 24% of the world's land surface, mountains are home to 12% of the global population and provide a wide range of goods and services to one-fifth of humanity. The goods and services provided include water, hydroelectricity, timber, medicine, a wide variety of bio-resources, and opportunities for recreation and spiritual renewal. The Hindu Kush Himalaya (HKH) is one of the largest and most assorted mountain settings in the world, covering 4.3 million square kilometers, stretching from Afghanistan in the west to Myanmar in the east. The region is well known for its geohydrological, biological, cultural, and aesthetic value. The services provided by mountains contribute enormously to the region's economic growth and are key to sustaining the livelihoods of the more than 200 million people living in the mountains and the approximately 1.3 billion people in the downstream river basins, as well as benefiting the global community.

Nestled within the HKH, Bhutan's geographical diversity, combined with its equally diverse climatic conditions, make it a rich repository of biological diversity and ecosystems. Its various forests types and freshwater ecosystems are estimated to be the biggest contributor of ecosystem goods and services in Bhutan, contributing to the wellbeing of the Bhutanese people. More than 69% of the population are subsistence farmers who are dependent on forests, water bodies, and croplands for agriculture and livestock. At the macroeconomic level, Bhutan's development agenda relies heavily on hydropower and tourism, which are, in turn, highly dependent on natural resources and ecosystem services. An ecosystem valuation carried out by Kubiszewski et al. (2013) estimated that a total of USD 4,944 million worth of benefits are derived from the ecosystem services provided by forests, rangelands, wetlands, and the inland waters of Bhutan.

However, Bhutan's mountain ecosystem, like the rest of the Himalayan region, is fragile due to its topographic, biological, and physical features. Its dynamics and stability are influenced by its development status as an emergent economy, as well as other anthropogenic factors, including climate change. Hence, the development of policies and strategies to address the complexities of ecosystem management and enhance its services for human wellbeing is imperative.

In this context, with support from the European Union, under the aegis of the Support to Rural Livelihoods and Climate Change Adaptation in the Himalayas (Himalica) initiative, the Royal Society for Protection of Nature (RSPN), with financial and technical support from the International Centre for Integrated Mountain Development (ICIMOD), carried out this study to develop a comprehensive understanding of the state and dynamics of ecosystems and their services in Barshong Gewog in Tsirang, Bhutan and their nexus with human wellbeing.

This report draws on both primary and secondary information. A participatory rural appraisal (PRA) and household questionnaire survey were carried out to assess the state and dynamics of ecosystems in Barshong Gewog and their capacity to provide goods and services, as well as community vulnerability to various drivers of change and their coping strategies in response to perceived changes. In addition, the geospatial analysis was used to map the land use and land cover change in relation to the spatial and temporal changes in ecosystems and ecosystem services in the study area.

## Findings

Barshong is one of the eight gewogs (sub-districts) in Tsirang Dzongkhag (district), which is located in the south-central part of Bhutan between 250–1,600 meters above sea level (masl). It has a total area of 2,156.9 hectares. The gewog has five administrative chiwogs (villages): Barshong Toed, Barshong Moed, Chhunythang, Gangtokha, and Toisang. Most of the land is under forest cover, which is comprised mainly of broadleaf and chir pine species, combined with agricultural land.

## Socioeconomic profile

The local livelihood in Barshong is based on a combination of farming, livestock rearing, and a small amount of wage labor. Nearly 80% of the respondents are farmers by occupation with around 20% engaged as wage laborers,

salaried employees and small traders. Farming households grow a diverse range of cash and food crops. Farmers also earn significant income from cattle, goats, pigs, and poultry. Over 35% of households earn an annual income of less than Bhutanese Ngultrum (BTN) 20,000 (USD 292), while 8% earn more than BTN 100,000 (USD 1,458) per year. At least 28.2% of households earn between BTN 21,000 (USD 306) and 49,000 (USD 714), while the remaining 28.2% earn between BTN 50,000 (USD 729) and 99,000 (USD 1,443) annually.

### **State of ecosystem and dependencies**

Forest, freshwater, and agroecosystems contribute substantially to the local economy. Around 90% of households depend on forest ecosystems, 88% depend on agricultural ecosystems, and almost 100% are highly dependent on freshwater ecosystems for their livelihoods. Collectively, these ecosystems provide a wide range of goods and services, including 22 provisioning services, 14 regulating services, 4 supporting services, and 6 cultural services.

Over 49% of the total population reported an increase in forest cover mainly due to community forestry, followed by rural-urban migration. Interestingly, 36.8% perceived a decrease in forest cover. In terms of the agroecosystem, over 40% perceived no change, while 38.5% perceived a decline over the last decade, attributing this to less rainfall, lack of irrigation, soil erosion, lack of labor, and poor soil conditions. In terms of the freshwater ecosystem, the majority of respondents (71%) perceived it to have decreased as a result of the drying up of freshwater sources and climate change.

With regard to land use and land cover change, agricultural land, fallow land, forest, bare area, and water bodies are Barshong's main land-cover types. Forests cover over 85% of the total area, followed by cultivated land (10.5%). Between 1989 and 2014, Barshong underwent minor changes with a 1.5% increase in forest cover and 0.9% decrease in cultivated land, with insignificant changes in other land cover categories. On the gewog boundary bordering the Punatshangchhu river basin, a considerable change in the river course and coverage has been observed.

### **Vulnerability**

In terms of vulnerability, on average, 21.2% of households in Barshong reported experiencing more than two types of crises, while 35% of the household did not report any crisis in the last 12 months. The months of May, June, and July are the most difficult for communities in terms of providing food. Related to this, and perhaps a cause of food shortages, 29.3% of households also faced issues with poor production, mainly caused by lack of rainfall or lack of access to water. Other reasons cited for poor production were human-wildlife conflict and one report of a pest/disease attack on oranges, which is considered a major source of income. Other reported crises included market fluctuations, illnesses in the family, and loss of livestock, but these were cited as minor problems.

### **Perceived changes in socioeconomic sectors**

All aspects of social and economic sectors seemed to have improved in the last decade, as reported by respondents. Medical facilities have improved (61.5%), water quality has improved (85.1%), there is better access to the forest (74%), food security has improved (83.3%), irrigation has improved (67.2%), and soil fertility has increased (47.7%). However, 41.2% of households reported a decline in soil fertility due to loss of topsoil and lack of access to water.

### **Willingness to pay**

Around 87% of households expressed willingness to pay for the management of ecosystems. More than 50% were willing to pay at least USD 1.7 per year, over 20% were willing to pay USD 5, and less than 5% were willing to pay more than USD 50.

### **Conclusion and suggestive actions**

Barshong is an agrarian village with majority depended on agriculture practices for subsistence livelihood. The ecosystem health is still maintained and through the dependency of people on surrounding resources are high. Food security and water resources management is highlighted to be focussed and the actions were suggested to look for alternative livelihood options including, tourism, cottage industries and market for ecosystem services payment.

# Introduction

## The Importance of Mountains

Mountains occupy nearly 24% of the world's land surface and are home to 12% of the global population (Sharma et al., 2010). An estimated one-fifth of the human population derive a vast array of ecosystem goods and services directly from mountains to support their lives and livelihoods (Schild, 2008). These services include water, hydroelectricity, timber, medicine, and a wide variety of bioresources, as well as opportunities for recreation and spiritual renewal. Mountains are socioeconomically, ecologically, and aesthetically significant, not only to the people residing in them, but also to the people living in the lowlands. They are repositories of biodiversity and home to diverse ethnic communities with rich cultural practices, farming systems, and traditional knowledge. At the same time, mountains are among the most fragile ecosystems in the world and are threatened with numerous drivers of change, including climate change, invasive alien species, globalization, urbanization, and other anthropogenic pressures (ICIMOD, 2009).

The Hindu Kush Himalayan (HKH) region is one of the largest and most varied mountain settings in the world, covering 4.3 million square kilometers, extending from Afghanistan in the west to Myanmar in the east. The region is inhabited by more than 200 million people from a multitude of ethnic and socio-cultural groups. The region is well known for its geohydrological, biological, cultural, and aesthetic value. Located at the convergence of three global biodiversity hotspots (Himalayan, Indo-Burman, and mountains of Southwest China), the region has a rich variety of gene pools and species (Chettri et al., 2008). As the source of ten large Asian rivers, the HKH mountains are known as the 'Water Towers of Asia'. These mountains provide numerous ecosystem goods and services, with freshwater being one of the most critical (Schild, 2008). These goods and services contribute enormously to the region's economic growth and are key to sustaining the livelihoods and industries that support more than 200 million people in the mountains and approximately 1.3 billion people in the downstream river basins, as well as benefiting the global community (Eriksson et al., 2009).

However, over the past decades, the HKH region has undergone an unprecedented change in terms of the patterns of resource use and development, resulting from the burgeoning human population and unsustainable levels of consumption. These, coupled with a changing climate, pose serious threats to the sustainability of vital ecosystems, especially at higher altitudes, which are ecologically fragile and extremely sensitive. Until now, except for a few experimental studies (e.g., Maharana et al., 2000a; 2000b; Baral et al., 2007; Badola et al., 2010; Chen & Jim 2010), no serious efforts have been made to enhance our scientific understanding of the significance of ecosystem services and their value in the HKH region (Rasul et al., 2011). Substantial gaps exist in our knowledge of geographical areas and ecosystem types, the status and dynamics of many ecosystem services, and options for their assessment, valuation, and management (Pant et al., 2012). Thus, there is an increasing need to enhance our holistic landscape-level understanding of the state, dynamics, and value of ecosystems in the HKH region and develop sound methodologies for monitoring, restoring, and valuing them in order to ensure that their value is realized and that appropriate conservation interventions are implemented to effectively manage and conserve ecosystem functioning.

## Bhutan

Bhutan is a small, landlocked country covering an area of 38,394 square kilometers in the eastern part of the Himalayan range between latitudes 26° 40' and 28° 20' north and longitudes 88° 45' and 92° 7' east. It is surrounded by the Tibetan Plateau in the north, the Bengal and Assam plains in the south, Arunachal Pradesh in the east, and the Darjeeling and the Sikkim Himalayas in the west. The country is almost entirely mountainous with elevations ranging from 150 masl in the south up to 8,000 masl in the north. Bhutan's geographical diversity, combined with its equally diverse climate conditions, make it a rich repository of biological diversity and ecosystems.



The image of 'Four Harmonious Friends' is a popular theme in traditional and contemporary arts seen throughout Bhutan.

Bhutan has four main ecosystems: forest, alpine, aquatic, and agricultural (NBC, 2015). Forest is the dominant ecosystem, covering over 70.46% of the total area, including various categories of protected area. Protected areas cover 51% of Bhutan's geographic area (LCMP, 2010). There are three distinct eco-floristic zones with different forest types: the subtropical zone (150–2,000 masl), with mix forest vegetation; the temperate zone (2,000–4,000 masl), which is dominated by conifer and broadleaf forests; and the alpine zone (over 4,000 masl), with meadows and scrub. Bhutan's aquatic ecosystem consists mainly of rivers, lakes, marshlands, and hot springs. Only 2.93% of the total land area in Bhutan is cultivated agricultural land (LCMP, 2010). There are six major agroecological zones, corresponding with altitude range and climatic conditions: alpine (3,600–4,600msl), cool temperate (2,600–3,600 m), warm temperate (1,800–2,600 m), dry sub-tropical (1,200–1,800 m), humid sub-tropical (600–1,200m), and wet sub-tropical (150–600 m). Bhutan's 677 glaciers and glacial lakes form part of the Himalayan glacial ecosystem.

The Bhutanese rely heavily on natural resources and ecosystem services for their sustenance (Kubiszewski et al., 2013; ICIMOD & RSPN 2014). Numerous ecosystem goods and services generated by various ecosystems (forests, agriculture, grassland, and freshwater) contribute to the wellbeing of the Bhutanese people, with forests as the leading contributor (Kubiszewski et al., 2013; ICIMOD & RSPN, 2014). Forests provide a myriad of services, including fuelwood, fodder, timber, food, fiber, shelter, medicines, household implements, and handicrafts, to name a few. As an agrarian community, around 69% of its rural population depend directly or indirectly on these ecosystems for agriculture and livestock (MoAF, 2011). In addition, the various ecosystems regulate soil and floods and protect the fragile topographic characteristics in rural and urban settlements. At the macroeconomic level, Bhutan's development agenda, under the aegis of Gross National Happiness, envisions a green and self-reliant economy with a strategic focus on major sectors, such as hydropower and tourism, which are highly dependent on natural resources and ecosystem services (Norbu, 2012).

An ecosystem valuation carried out by Kubiszewski et al., (2013) estimated the total value of ecosystem services (provisioning, regulating, and cultural services) provided by Bhutan's various ecosystems, including forests, rangelands, wetlands, and inland waters, at USD 4,944 million. Similarly, a recent study estimated that an average household in the Phobjikha valley derives USD 2,610 worth of annual economic benefits from ecosystem provisioning services, with 84% of the total value coming from potato farming (ICIMOD & RSPN, 2014). It is also worth noting that 53% of the ecosystem services created within Bhutan benefit people outside its political boundaries.

However, Bhutan's ecosystems, like the rest of the Himalayas, are fragile due to its topographic, biological, and physical features. Furthermore, its dynamics and stability are influenced by its development status as an emergent economy, as well as other anthropogenic factors, including climate change. The country is facing numerous direct pressures such as: habitat loss and fragmentation, forest fires, poaching, the illegal wildlife trade, human-wildlife conflicts, overgrazing, the unsustainable utilization of resources, unsustainable agricultural practices, invasive species, and pollution. Indirect pressures include: climate change, the rapidly growing population, and poverty (Zurick, 2006; NBSAP, 2014).

There is an urgent need to comprehend the complexities of Bhutan's ecosystems and the bio-socioeconomics of their management so that appropriate policies and strategies can be developed to address emerging threats to Bhutan's ecosystems and enhance their services to benefit nature and humans alike. In this context, with support from the European Union, under the aegis of the Support to Rural Livelihoods and Climate Change Adaptation in the Himalayas (Himalica) initiative, the International Centre for Integrated Mountain Development (ICIMOD) and the Royal Society for Protection of Nature (RSPN) carried out this study to develop a comprehensive understanding of the state and dynamics of the ecosystems and their services in Barshong Gewog in Tsirang, Bhutan and their nexus with human wellbeing.

Based on local-level primary data, this report presents an integrated overview of the state and dynamics of ecological, socioeconomic, and livelihood features of the Barshong Gewog. It also outlines community vulnerability to various drivers of change and identifies coping strategies adopted by communities in the gewog in response to perceived changes. The report discusses the prospects of managing the ecosystem to enhance ecosystem services, address community vulnerability, and improve socioeconomic development. Finally, it concludes with recommendations for increasing the ecological and socioeconomic resilience of Barshong and its people.



Vegetable fern is one of the provisioning ecosystem services provided by Barshong Gewog

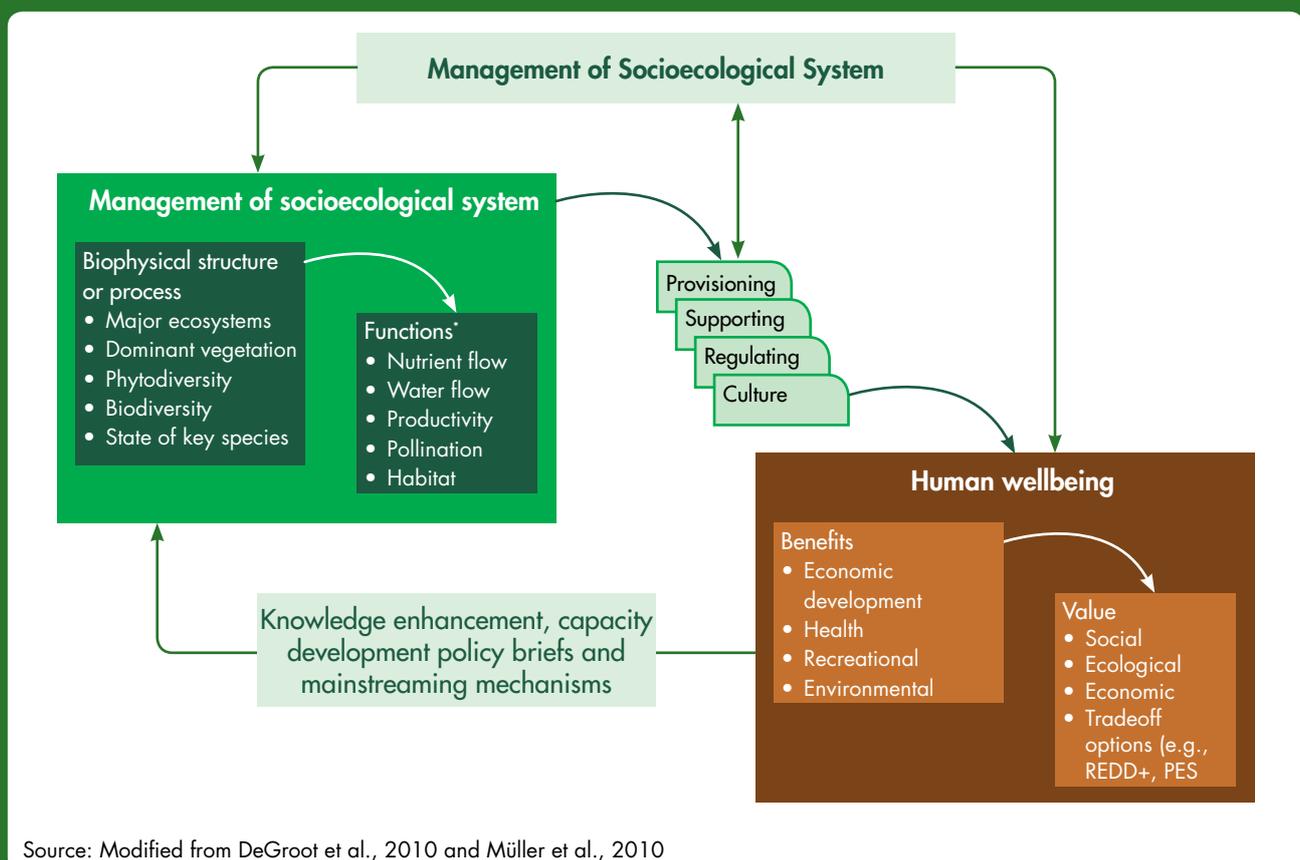
# Research Framework and Methodology

## Research Framework

The 'Ecosystem Services Cascade' framework of DeGroot et al., (2010) and Müller et al., (2010) was modified to design a research framework to assess the ecosystem services in the study area (Figure 1). This research framework enables the importance and significance of ecosystem services to human wellbeing to be rationalized. It compartmentalizes the elements necessary for the systematic assessment of ecosystem services, but allows for the adjustment of these elements based on the need and requirements of the study area. It allows the elements of each of the compartments (i.e., ecosystems and biodiversity, ecosystem services and human wellbeing) to be prioritized and then each element to be considered with the logical linkages needed to develop an association between the ecosystem services and human wellbeing. The framework also enables us to understand the state of the ecosystem services and the dynamics of such services in a given study area and to link them with people's dependence to inform decision-making processes. The present study also focuses on the information and knowledge flow back as part of the impact pathway, which conventional ecosystem assessment practices do not consider as a cyclic or virtual process.

Two types of indicators were considered vital in the research design for ecosystem services: 'state indicators', which describe what ecosystem structures, processes, and functions are providing the services and how much (e.g., people's dependency), and 'performance indicators', which describe how much of the service can potentially be

Figure 1: Research framework developed for the assessment of ecosystem services linked to impact pathways



Source: Modified from DeGroot et al., 2010 and Müller et al., 2010

used in a sustainable way (e.g., resources availability). When this knowledge of ecosystem services is linked with human wellbeing, the importance (or 'value') of the ecosystem and its services can be considered under three value domains: ecological, socio-cultural, and economic. The ecological value encompasses the health of a system (and not necessarily in economic terms), measured using ecological indicators such as diversity and integrity (as well as trends and projections if applicable). The socio-cultural value includes the importance of the services provided to the people in terms of their culture and traditions; in other words, the cultural identity and practices related to the use of ecosystem services (Raymond et al., 2009). Apart from these, contemporary tools such as remote sensing (RS) and geographic information systems (GIS) were also used to understand the state and dynamics of ecosystem services in the study area.

To address the indicators for ecosystem structures, processes, and functions (and well as quantity), a number of set questions were adopted from the global framework (DeGroot et al. 2010) and developed to meet the requirements of this study (see Box 1).

### Box 1: Research questions to better integrate ecosystem services into ecosystem/landscape planning, management, and decision-making

#### Understanding the states of ecosystems and their goods and services

- What are the main ecosystems found in the study area and what is their status?
- What are the main ecosystem services provided by these ecosystems?
- What is the state of ecosystem services in the given study area?
- How can these ecosystems and the services provided by them be spatially mapped and show changes?
- What is the effect of (changes in) the dynamic conditions (temporal and spatial) of ecosystem change on services?

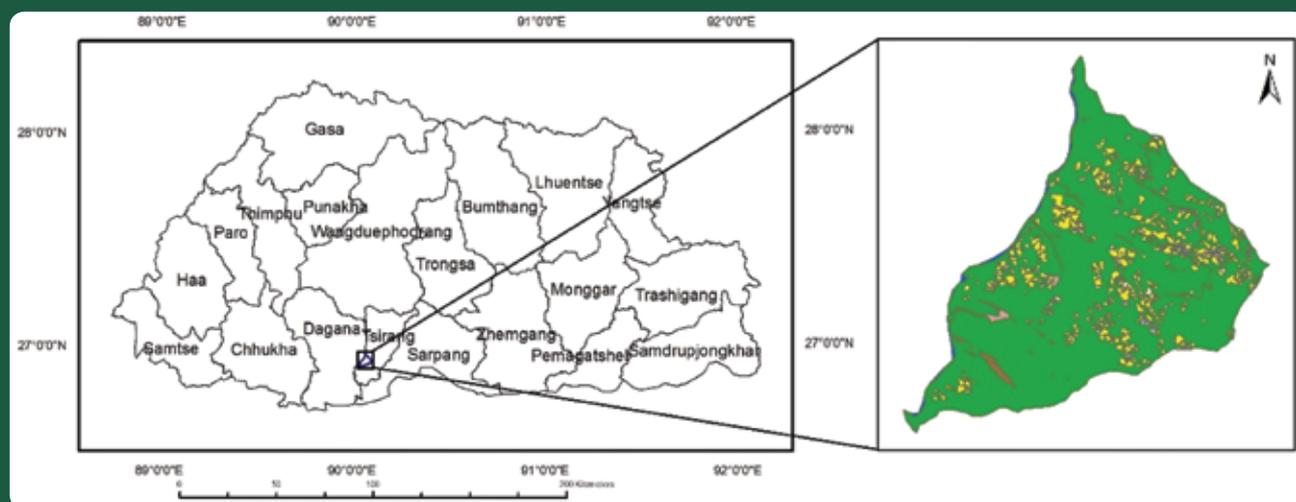
#### Valuing ecosystem services

- What are the most important ecosystem services contributing to the economic wellbeing of the communities?
- Are there any species or ecosystems that are socio-culturally valuable?
- What are the ecologically significant species or ecosystems?

## Study area

Barshong Gewog is one of eight gewogs (sub-districts) in Tsirang Dzongkhag (district), which is located in the south-central part of Bhutan (Figure 2). Located between 250 masl and 1,600 masl, it has a total area of 2,156.9 hectares. The gewog has five administrative chiwogs (villages): Barshong Toed, Barshong Moed, Chhunythang, Gangtokha, and Toisang. Most of the land is under forest cover, which is comprised mainly of broadleaf and chir pine species, combined with agricultural land. The gewog has basic rural facilities including an agricultural extension centre, a livestock extension centre, a forest range office, a basic health unit with an outreach clinic, community information centres, and a primary school. It is connected to the rest of the dzongkhag by 11 kilometers of farm road. Most of the chiwogs in Barshong are covered by the national electricity grid.

Figure 2: Location of study area showing Barshong Gewog



## Methodology

The research methodology involved both primary and secondary information. A sampling frame for the ecosystem services assessment covered all 174 households in Barshong. Although according to official records, there are 249 households in the gewog, only 174 have people currently residing in them.

Data collection was done using participatory rural appraisal (PRA) and a household questionnaire survey. The RSPN, in collaboration with ICIMOD, carried out the PRA in Barshong to identify major ecosystems and their uses according to communities. Numerous PRA tools (such as resource mapping, pair-wise ranking, Venn diagram, etc.) were used to gather qualitative data. This was followed by a household questionnaire survey to assess in detail the state and dynamics of ecosystems and their capacity to provide goods and services to local communities. A multi-structured questionnaire was prepared for the ecosystem services assessment by the ICIMOD team using sets and sub-sets of indicators and values. Survey data was compiled into a Statistical Package for Social Sciences (SPSS) database, cross-checked, and cleaned. The analysis was carried out using basic description statistics, cross-tabulation, the comparison of means, and correlation techniques.

The geospatial analysis was used to map the land use and land cover changes in relation to the spatial and temporal changes in ecosystems and ecosystem services. It included both GIS and RS. The details of methodologies for each action research are described below.

### Ecosystems and ecosystem services assessment

The study focused on three main ecosystems identified by the communities during the PRA, namely: forest, freshwater and agroecosystems. The Millennium Ecosystem Assessment (MEA, 2005) framework was used to categorize the ecosystem services derived from these ecosystems as: provisioning services (which provide direct inputs to livelihoods and the economy), regulating services (which provide flood and disease control), supporting services (which sustain and fulfil human life), and cultural services (which support recreation and spiritual or historical sites).

For the ecosystem services assessment, based on the literature review and PRA, an exhaustive list of ecosystem goods and services from Barshong Gewog was prepared and categorized into provisioning, regulating, supporting, and cultural services. Ecosystem goods and services were then ranked as high, medium, or low, based on the community's perceptions of their importance and dependence. High-ranking goods and services were those considered widely used and essential to local subsistence. Medium-ranking goods and services were considered preferred, but could be done without if unavailable. Low-ranking goods and services were those considered optional. Socially, ecologically, and culturally-important species of plants and animals were also identified and their perceived significance ranked in a similar manner.

The ecosystem goods and services that were considered for this study included: provisioning services (such as fuelwood, fodder, forage, timber/poles, leaf litter, medicinal plants, ornamental plants, wild edible fruits and vegetables, mushrooms, fibre, thatch, bush meat, dyes, paddy, cereals, vegetables, fish, drinking water, bathing water, irrigation water, boulders, and sand), regulating services (such as carbon sequestration, climate regulation, flood control, groundwater recharge, nutrient enrichment, pest regulation, pollination, seed dispersal, soil fertility, soil formation, soil stability, waste treatment, water purification, and retention), supporting services (such as ecosystem resilience, habitat for species, the hydrologic cycle, and soil formation), and cultural services (such as aesthetic beauty, ecotourism, education and research, recreation, nature worships, and spiritual enrichment).

Group discussion with the community people



## Geospatial analysis

For the land use and land cover change analysis, efforts were made to map the study area using three different time series images from 1989, 2003, and 2014. The idea was to detect the change in, and status of, land use cover and the changes that have taken place, particularly in forested and agricultural land, over the period using both GIS and RS data.

Subsequently, an attempt was made to project the use of the identified ecosystem services in the area over the same period.

Medium spatial resolution satellite images from Landsat were used from 1989, 2003, and 2014 and further rectified using Google eye images from 2014 to generate the land cover maps (Table 1). The land use categorization was defined using the standard land cover protocol. The land cover information derived consisted of pre-processing and classification using object-based algorithms.

In this study, Landsat 30 m spatial resolution (185 x 185 km swath) ortho-rectified and cloud free thematic mapper (TM), enhanced thematic mapper plus (ETM+), and Landsat 8 images were used for land cover mapping and change detection between 1989, 2003 and 2014. All images were downloaded from the United States Geological Survey (USGS) archived data portal. The whole study area lies within thirteen fully or partially covered Landsat images (each scene 185 x 185 km). The shuttle radar topography mission (SRTM) one arc second (30 m) digital elevation model (DEM) with add on products such as slope and aspect, was used for the topographic information as well as identification and mapping difference in land cover classes. Base layers with district, physiographic and settlement points in geographic information system (GIS) format were used both as baseline information for the maps and land cover extraction and analysis.

Land cover maps for 1989, 2003 and 2014 were prepared from analysis of the Landsat TM, ETM+, and Landsat 8 images using geographic object-based image analysis (GEOBIA). The detailed methodology used to prepare the land cover maps is described in Uddin et al. (2015). Briefly, eCognition Developer software was used to divide the image into segments. A multi-resolution segmentation algorithm was applied in which homogeneous areas resulted in larger objects and heterogeneous areas in smaller ones. Information on the spectral values of image layers, vegetation indices Normalized Difference Vegetation Index (NDVI), and a land water mask was used in the analysis. Six land cover classes were mapped for the comparison and further analysis on ecosystem service.

Following Chaudhary et al. (2016) in ArcGIS environment, the land cover was analysed and interconnected based on the sum scores for provisioning, regulating, supporting, and cultural services. Values were obtained from the SPSS data generated after the completion of the household survey, in which the respondents shared their routine resource dependency on, and usage of, the various services drawn from the different ecosystems.

## Willingness to pay and livelihood vulnerability assessment

The study also examined willingness to pay (WTP) for the management of key ecosystem functions and services provided by Barshong Gewog. During the survey, communities in the Gewog were given monetary and non-monetary (labour) options to express their WTP. During analysis, the non-monetary values were converted into monetary terms using the national daily wage rate.



Interaction with Gup (Headman of gewog council)

Table 1: Data source

	Satellite	Sensor	Row	Path	Year
1	Landsat	Landsat 8	138	41	2014
2		TM			2003
3		TM			1989

Regarding livelihood vulnerability, information was collected on inaccessibility, fragility, marginality, biological niches, and human adaptation mechanisms. The focus was on thematic areas such as food security, water security, long-term changes to basic facilities for health, education, communication, and electricity, accessibility to ecosystem goods and services, crises/shocks, and the community's coping strategies to overcome these crises.



Local people preparing the community resource map.

# Research Results

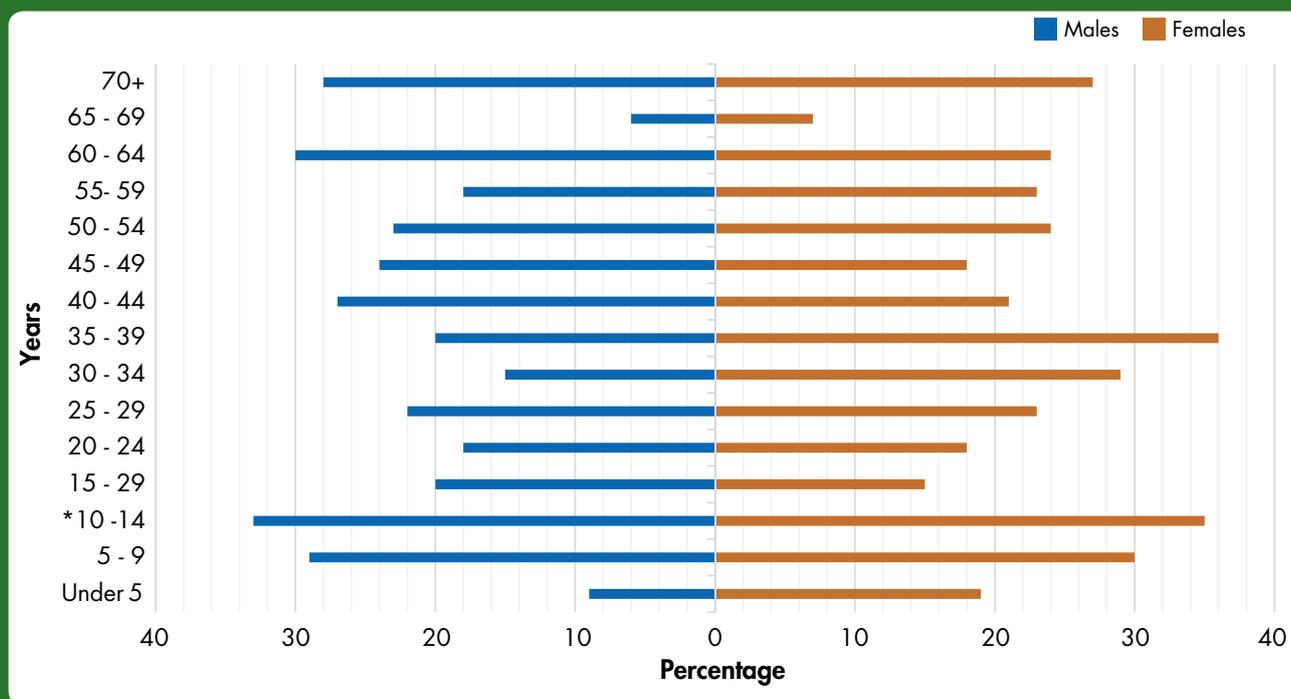
## Socioeconomic Profile

### Population characteristics

In 2014, Barshong had a population of 3,240 (RSPN, 2015). However, the survey conducted for this study excluded any family members who had lived away from the gewog for more than six months, as the objective of the study was related to resource dependency in the gewog.

The population pyramid for Barshong Gewog is depicted in Figure 3. The population of Barshong is comprised of 62% men and 38% women. The mean size of a household is 3.8 members. Half (51%) of the population is under 51 years of age and the dependency ratio is 46.1 persons (aged 0–14 years and above 65) per 100 working-aged persons (15–64 years). The population density is estimated at 33 people per square kilometer (Table 2).

Figure 3: Population pyramid for Barshong Gewog



Around 66.7 % of the total population of Barshong are illiterate, while 33% have completed some level of primary, secondary, or non-formal education. Only one person has a higher education degree. It is also worth noting that there are more illiterate women than men (147 adult women, compared to 116 adult men).

More than 80% of the population in Barshong are Lhotsampas, Sharshops, Trongsaps, Khengpas and Ngalongs make up less than 20%. Nearly 80% of the respondents are farmers by occupation with around 20% engaged as wage laborers (5.9%), salaried employees (2.4%), or in other occupations such as small businesses (0.6%).

### Landholding

Three categories of landholding were recorded according to usage: wetland/irrigated land, dryland, and orchard. Information on the area of agricultural land use owned for each landholding category is summarized in Table 3. It was observed that each household owns at least one or more type of agricultural land. The mean average size of

dryland owned per household is 1.2 hectares, the wetland is 0.5 hectares and orchard is 0.2 hectares.

### Livelihood strategies

Local livelihoods in Barshong are based on a combination of farming, livestock rearing, and small amounts of wage labor. The main sources of income for Tsirang Dzongkhag are citrus, poultry, livestock, and, more recently, organic farming (NSB, 2013). Dependency on the primary sector is high, with over 80% of households exclusively dependent on income from this sector. The contribution of secondary and tertiary sectors to annual income is minimal (Table 2).

Farming households grow a diverse range of cash and food crops. At least, nine types of crops, including three cash crops (ginger, oranges and chillies), four grains (maize, finger millet, paddy and buckwheat), and pulses are grown in Barshong Gewog. Maize is the main crop cultivated (102.4 ha), followed by finger millet (62.2 ha). Among the cash crops, oranges one of the main crops and are cultivated on 21.9 ha (Table 4).

Table 3: Land ownership by type

Land type (ha per HH)	Mean	Minimum	Maximum
Area of wetland owned	0.5	0	3.2
Area of dryland owned	1.2	0	5.6
Area of orchard owned	0.2	0	2.0

ha = hectares, HH = households

The main types of livestock reared are cattle, poultry, goats, and pigs. Around 90% of households (156) own cattle, 74% (130) own poultry, 72% (126) own goats, and nearly 33% (59) own pigs (Figure 5). Very few households own sheep and horses. In general, livestock numbers over the last five years have declined: cattle numbers have decreased by 23%, while other livestock has decreased by less than 6%. Although there has been an increase in the number of horses, the number of horses is still insignificant (Figure 4).

Farmers earn significant income from the produce from cattle, goats, pigs, and poultry. On average, annually, farmers earn BTN 10,475 from cattle, BTN 5,526 from pigs, BTN 4,940 from goats, and BTN 1,441 from poultry (Table 5). Income from other livestock is insignificant.

The data collected on feeding practices indicate that households use stall feeding or grazing, or a combination of both, as strategies to feed their livestock. Stall feeding is the dominant strategy for goats and pigs, whereas a combination of stall feeding and grazing is prominent for cattle and poultry (Figure 5).

Table 2: Population characteristics

Characteristics		Frequency (N)	Percent
Gender	Male	109	61.9
	Female	65	36.9
Age	> 51	88	51.0
	≤51	86	49.0
Marital status	Single	2	1.1
	Married	144	82.8
	Divorced/widowed	28	16.1
Ethnicity	Ngalong	5	2.9
	Sharshop	16	9.2
	Trongsap	4	2.3
	Khengpa	9	5.1
	Lhotsampa	140	80.5
Education	Literate	58	33.0
	Illiterate	116	66.7
Occupation	Farming	135	79.9
	Household activity	13	7.7
	Wage labourer	10	5.9
	Salaried employee	4	2.4
	Petty business	1	0.6
	Others	6	3.6

Table 4: Major crops cultivated in Barshong

Major crops	Area (ha)
Maize	102.4
Finger millet	62.2
Paddy	26.2
Orange	21.9
Pulses	11.6
Ginger	6.0
Tapoika	5.5
Chilli	0.3
Buckwheat	0.2

Table 5: Average annual income from livestock

Livestock	Average income	
	(BTN)	(USD)
Sheep	280.7	4.8
Goats	4,940	83.7
Cows	10,475.7	177.6
Horses	648.1	11.0
Pigs	5,526.9	93.7
Poultry	1,441.8	24.4
Total	23,313.2	395.1

Figure 4: Livestock numbers for present and past five years

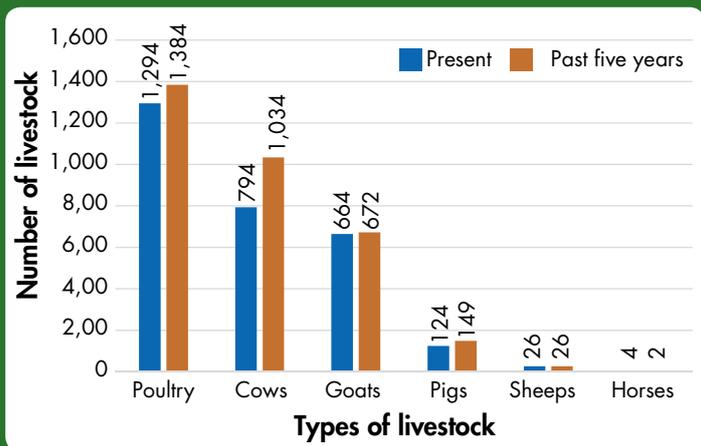
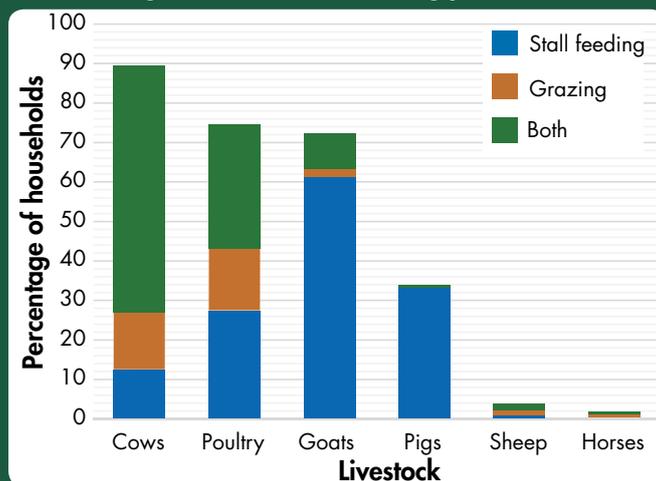


Figure 5: Livestock feeding practices



### Income distribution

A frequency distribution was prepared with respect to income categories starting with less than BTN 20,000 (USD 291.2) and with an ascending class interval up to BTN 100,000 (USD 1,456.2). Over 35% of the total households surveyed earn an annual income of less than BTN 20,000 (USD 291.2), while 8% earn more than BTN 100,000 (USD 1,456.2) per year. Most households (56.4%) earn between BTN 21,000 (USD 305.8) and 99,000 (USD 1,441.7) annually (Table 6).

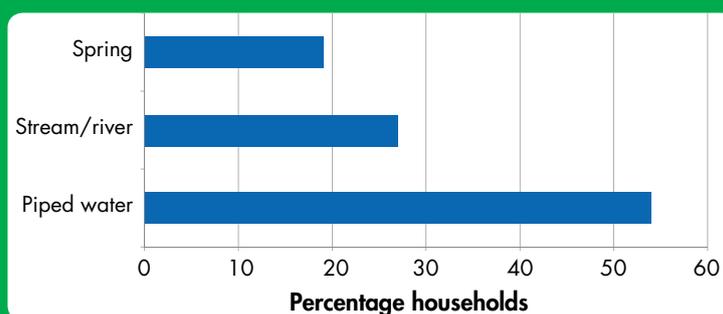
Table 6: Frequency distribution of income

Income group	Frequency (N)	Percent
Less than BTN 20,000 (USD 291.2)	62	35.6
Between BTN 21,000–49,000 (USD 305.8- 713.5)	49	28.2
Between BTN 50,000–99,000 (USD 728.1- 1,441.7)	49	28.2
More than BTN 100,000 (USD 1,456.2)	14	8.0

### Drinking water sources

Most of the drinking water in Barshong comes from piped water (nearly 55%), followed by streams and rivers (almost 27%); only 18% of households use spring water (Figure 6). The drinking water facilities in the gewog are well covered and supported under the Five Year Plan of the Royal Government of Bhutan.

Figure 6: Sources of drinking water



## State of the Ecosystems

### Community dependence on major ecosystems

The three major ecosystems identified during the PRA process – forest, freshwater, and agro ecosystems – contribute greatly to the livelihoods of a large number of local households. Out of 174 households surveyed, 91, 93 and 99 percentage of households to be highly dependent on forest, agriculture and fresh ecosystems, respectively (Figure 7). Respondents reported their dependency on fresh water to be highest among the three, followed by agricultural land and forest. The high ranking for freshwater is due to the importance attached to freshwater for drinking and irrigation purposes.

## Importance of ecosystems

The data show no significant difference between the perceived importance of services provided by the different ecosystems (forests, freshwater and agricultural land) to the villagers. Most households (81%) reported freshwater as the most important ecosystem, followed by agricultural land (65.5%) and forest (63.2%) (Figure 8).

Some respondents explained their ranking of agricultural land as less important due to the lack of water resources in the study area. Those with access to electricity ranked dependence on forests as low, because they use electricity instead of fuelwood for energy.

## Perceived change in major ecosystems/land use

Over 49% of the total population perceived an increase in forest cover owing largely to community forestry, followed by rural to urban migration for employment and education. However, 36.8% perceived a decrease in forest cover (Table 7).

In relation to agriculture ecosystems, over 40% perceived no change, while 38.5% perceived a decline over the last decade (Table 7). Respondents who thought the agroecosystem had declined attributed this to less rainfall, lack of irrigation, soil erosion, lack of labor, and poor soil conditions. At least two respondents cited uncertainties surrounding climate as a reason for the decline of agriculture ecosystems.

The majority of households (72%) reported that freshwater ecosystems are decreasing as a result of the drying up of freshwater sources, climate change and increasing population (Table 7). The data does not differentiate between quantity and access; therefore, it is assumed that those who cited increasing population as the main reason for declining freshwater ecosystem may be referring to either access to, or quantity of water, at the user level. Incidentally, 19.5% of households also reported an increase in freshwater resources, which refers to the improved access to water brought about by piped water and a relative decrease in the population, and does not necessarily mean an increase in the freshwater ecosystem.

Table 7: Perceived change in ecosystems as reported by respondents

Ecosystem	Increasing	Decreasing	No change	Do not know	Total %
Forest	49.4	36.8	6.9	6.9	100
Agro	13.2	38.5	40.2	8.1	100
Freshwater	19.5	71.9	5.2	3.4	100

Figure 7: Dependence on major ecosystems

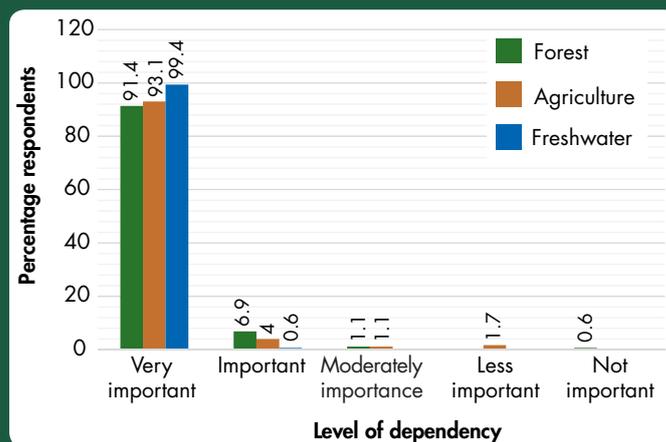


Figure 8: Importance of services from different ecosystems

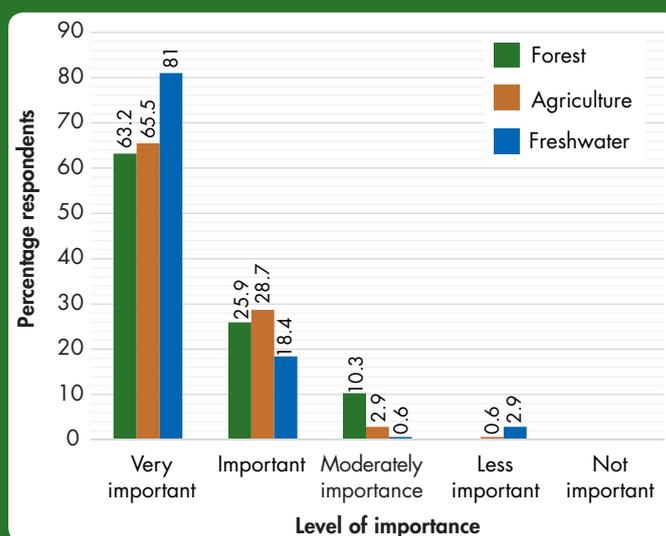


Figure 9: Map showing land cover types in 1989

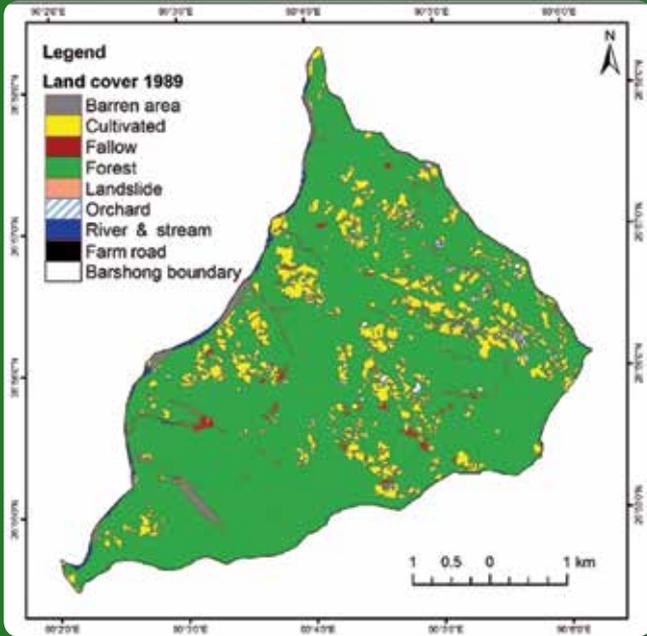
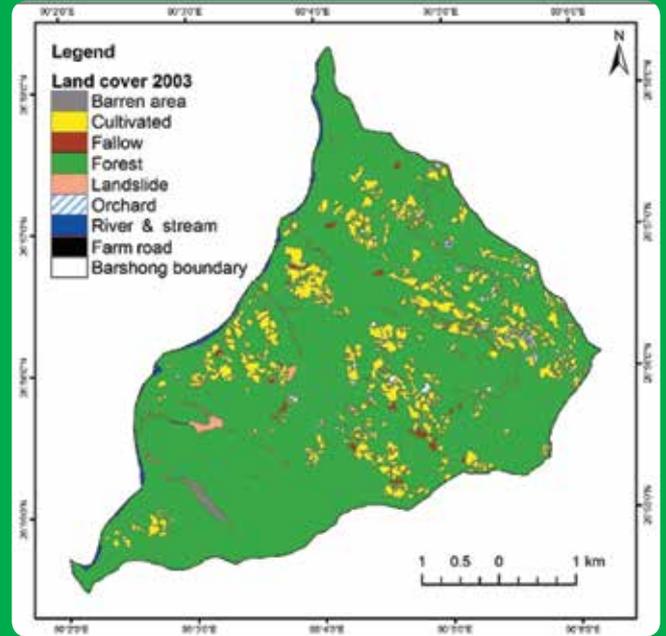


Figure 10: Map showing land cover types in 2003



From 1989 to 2014, Barshong has seen only minor changes in terms land uses and land cover; specifically, there has been a slight increase in forest area and a decrease in cultivated land (Table 8). On the gewog boundary bordering the Punatshangchhu river basin, a considerable change in the river course and coverage has been observed (Figures 9, 10, 11).

The area experienced major rainfall in 2000, which triggered many landslides, resulting in a massive mudslide throughout the gewog covering 8.2 hectares. From 1989 to 2014, the forest cover increased by 1.6% (from 1,816.4 ha to 1,850.5 ha) and cultivated land decreased by nearly 1% (from 248.9 ha to 227.4 ha). Changes in the rest of the land cover categories were comparatively insignificant (Table 8).

A time-series based comparison of land use type was generated to derive the actual change between the different land cover categories (Tables 9 and 10). The major change in land cover between 1989 and 2003 was due to the conversion of cultivated land to forest area (16.6 ha). There was also a reverse conversion in some parts of forest land to cultivation (8.5 ha). However, between 2003 and 2014 the major contribution to change in the forested area resulted from the growth of forest cover in the fallow land (19.8 ha). Generally, a positive trend in forest cover can be observed over the 25 years from 1989 to 2014, from 1,816.4 ha to 1,850.5 ha.

Figure 11: Map showing land cover types 2014

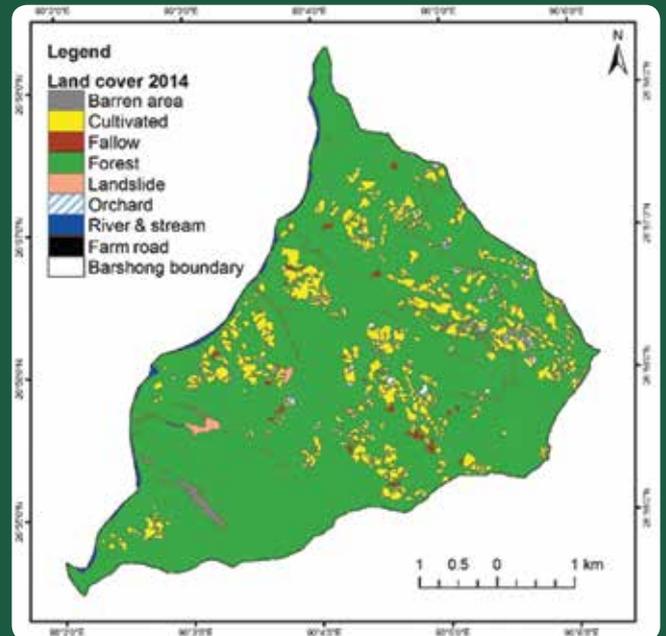


Table 8: Land use land cover distribution (1989, 2003, and 2014)

Land cover	Year 1989		Year 2003		Year 2014		Land cover changes % (1989-2014)
	ha	%	ha	%	ha	%	
Forest	1,816.4	84.2	1,826.2	84.7	1,850.5	85.8	1.58
Barren area	27.3	1.3	18.0	0.8	11.5	0.5	-0.73
Landslide	0.0	0.0	0.0	0.0	8.2	0.4	0.38
Cultivated	248.9	11.5	231.0	10.7	227.4	10.5	-0.99
Orchard	25.7	1.2	22.9	1.1	22.5	1.0	-0.15
Fallow	16.5	0.8	40.9	1.9	10.5	0.5	-0.28
Farm road	0.0	0.0	0.0	0.0	3.3	0.2	0.15
Rivers and streams	22.2	1.0	18.0	0.8	23.0	1.1	0.04
<b>Total</b>	<b>2,156.9</b>	<b>100.0</b>	<b>2,156.9</b>	<b>100.0</b>	<b>2,156.9</b>	<b>100.0</b>	<b>0.0</b>

Note: Land cover change is calculated as a % of the total land area.

Table 9: Change matrix of land cover between 1989 and 2003 (ha)

Land cover	Forest	Barren area	Landslide	Rivers & streams	Cultivated	Orchard	Fallow	Farm road	Total
Forest	1,806.5	3.1	0.0	0.0	16.6	0.0	0.0	0.0	1826.2
Barren area	0.0	18.0	0.0	0.0	0.0	0.0	0.0	0.0	18.0
Landslide	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Rivers and streams	0.1	1.4	0.0	16.5	0.0	0.0	0.0	0.0	18.0
Cultivated	8.5	0.3	0.0	0.0	222.2	0.0	0.0	0.0	231.0
Orchard	0.4	0.0	0.0	0.0	0.3	22.2	0.0	0.0	22.9
Fallow	0.9	4.6	0.0	0.0	9.7	0.0	25.7	0.0	40.9
Farm road	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<b>Total</b>	<b>1,816.4</b>	<b>27.3</b>	<b>0.0</b>	<b>16.5</b>	<b>248.9</b>	<b>22.2</b>	<b>25.7</b>	<b>0.0</b>	<b>2,156.9</b>

Table 10: Change matrix of land cover between 2003 and 2014 (ha)

Land cover	Forest	Barren area	Landslide	Rivers & streams	Cultivated	Orchard	Fallow	Farm road	Total
Forest	1821.9	0.8	0.0	1.4	5.6	1.1	19.8	0.0	1,850.5
Barren area	0.0	11.5	0.0	0.0	0.0	0.0	0.0	0.0	11.5
Landslide	1.1	0.0	0.0	0.0	0.0	0.0	7.2	0.0	8.2
Rivers and streams	0.1	5.7	0.0	16.6	0.0	0.0	0.6	0.0	23.0
Cultivated	1.9	0.0	0.0	0.0	225.5	0.0	0.0	0.0	227.4
Orchard	0.7	0.0	0.0	0.0	0.0	21.8	0.0	0.0	22.5
Fallow	0.2	0.0	0.0	0.0	0.0	0.0	10.3	0.0	10.5
Farm road	0.3	0.0	0.0	0.0	0.0	0.0	3.0	0.0	3.3
<b>Total</b>	<b>1,826.2</b>	<b>18.0</b>	<b>0.0</b>	<b>18.0</b>	<b>231.0</b>	<b>22.9</b>	<b>40.9</b>	<b>0.0</b>	<b>2,156.9</b>

# Ecosystem Services Assessment

## Ecosystem service utilization

Collectively, the different ecosystems in Barshong provide a wide range of goods and services, including 22 provisioning services, 14 regulating services, 4 supporting services and 6 cultural services (Table 11).

Using three major ecosystems and the list of specific ecosystem services identified during the PRA, a frequency check of respondents answering positively to each list of services was carried out (Table 12).

Table 11: Services provided by ecosystems in Barshong

Provisioning (22)	Regulating (14)	Supporting (4)	Cultural (6)
Fuelwood, fodder, grazing, timber/poles, leaf litter, medicinal plants, ornamental plants, wild edible fruit & vegetables, mushrooms, fibers, thatch, bush meat, dyes, paddy, cereals, vegetables, fish, drinking water, bathing water, irrigation water, boulders, sand	Carbon sequestration, climate regulation, flood control, groundwater recharge, nutrient enrichment, pest regulation, pollination, seed dispersal, soil fertility, soil formation, soil stability, waste treatment, water purification, water retention	Ecosystem resilience, habitat for species, hydrologic cycle, soil formation	Aesthetic beauty, ecotourism, education & research, recreation, nature worship, spiritual enrichment

## Provisioning services

The study found that the community depends largely on forests for at least eight different provisioning resources. Over 95% of households reported using timber/poles (169 households) and fuelwood (166 households), while 87% (151 households) reported using forest ecosystems to provide forage for livestock (grazing and fodder). Less than half of the total households also reported using wild edible fruits and vegetables (49.4%) and mushrooms (26.4%). A few households collect fibers, dyes, and medicinal and ornamental plants, but the use of these was negligible in terms of number of households (Table 12).

Agriculture ecosystems are equally productive in terms of providing food (grains, cereals and vegetables). At least 90.2% (157 households) said that they obtain vegetables from agroecosystems, while 70.1% (122 households) obtain cereals and 67.2% (117 households) obtain grains (rice) from agroecosystems. As an agrarian, livestock-rearing community, agriculture ecosystems also provide a significant amount of forage and fodder for livestock. The vast majority of households (91.9%) reported using agriculture ecosystems to feed animals (Table 12).

Freshwater is significant in terms of providing water for domestic use and irrigation (Table 12). Understandably, the use of freshwater for the provision of food is limited, with only 13.7% (24 households) citing fish as a source of food. It should be noted that national policies prohibited hunting and fishing in Bhutan during the time of survey.

Table 12: Ecosystem service utilization: Provisioning

Ecosystem	Fuelwood	Fodder	Grazing	Timber/poles	Leaf litter	Medicinal plants	Ornamental plants	Wild edible fruits/vegetables	Mushrooms	Fibres	Thatch	Bush meat	Dyes	Cereals	Vegetables	Paddy	Fish	Drinking water	Water for bathing	Water for irrigation	Boulders	Sand
Forest	166	151	118	169	83	17	2	79	35	14	9	6	6	1	42	0	3	15	13	11	6	5
Agro	152	160	128	68	62	7	46	67	26	26	19	3	6	122	157	117	4	8	3	4	106	49
Freshwater	2	2	3	0	0	0	0	0	0	0	0	0	0	0	37	40	24	165	165	135	26	18

A comparison of provisioning services from forest, freshwater, and agroecosystems indicates that forests are most productive in terms of the flow of services to communities. However, the community also depends heavily on agroecosystems for crops, ornamental plants, fuelwood, forage, fiber, and thatch. Similarly, freshwater ecosystems are important in terms of providing drinking water, water for bathing and irrigation, and fish for some households (Figure 12).

The provisioning services provided by ecosystems in Barshong in 1989, 2003, and 2014 are shown in Figure 13.

Figure 12: Comparative importance of provisioning services:

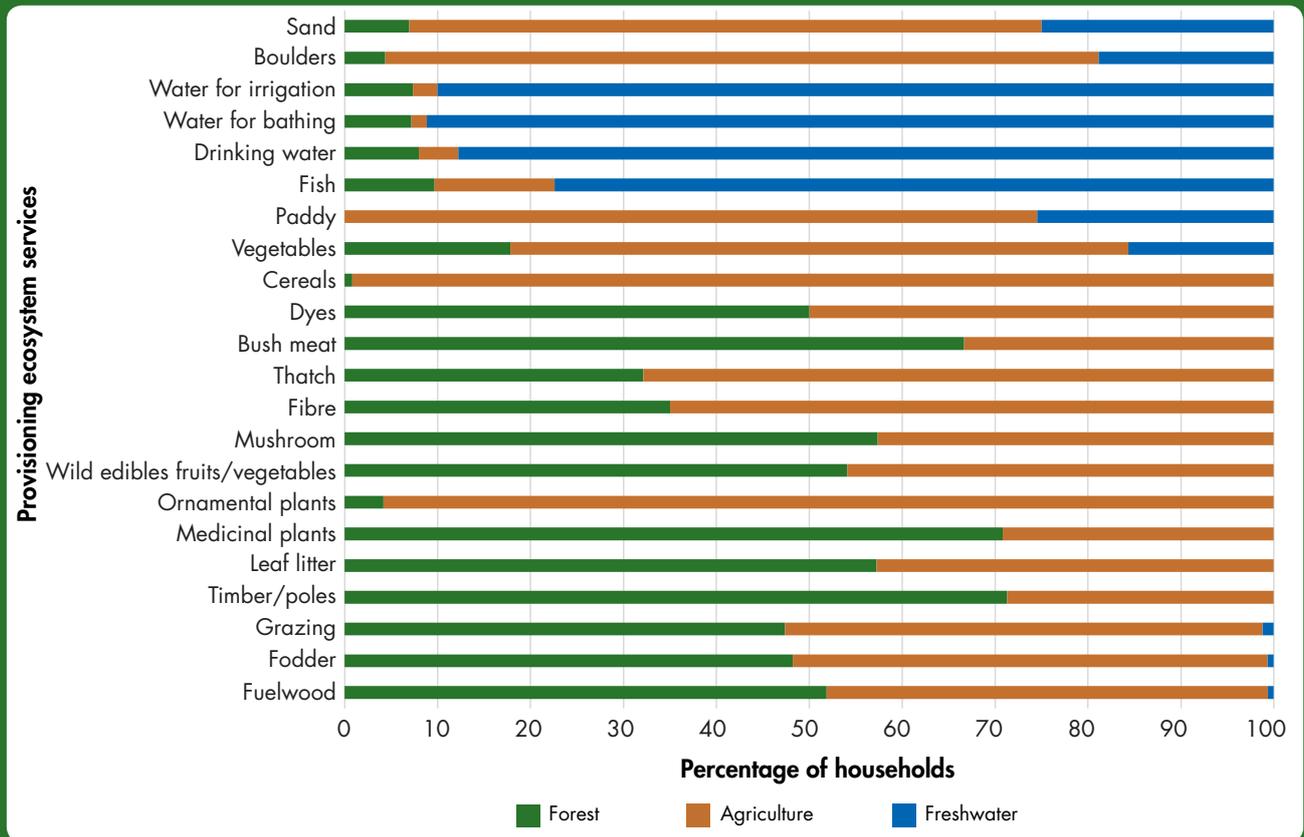
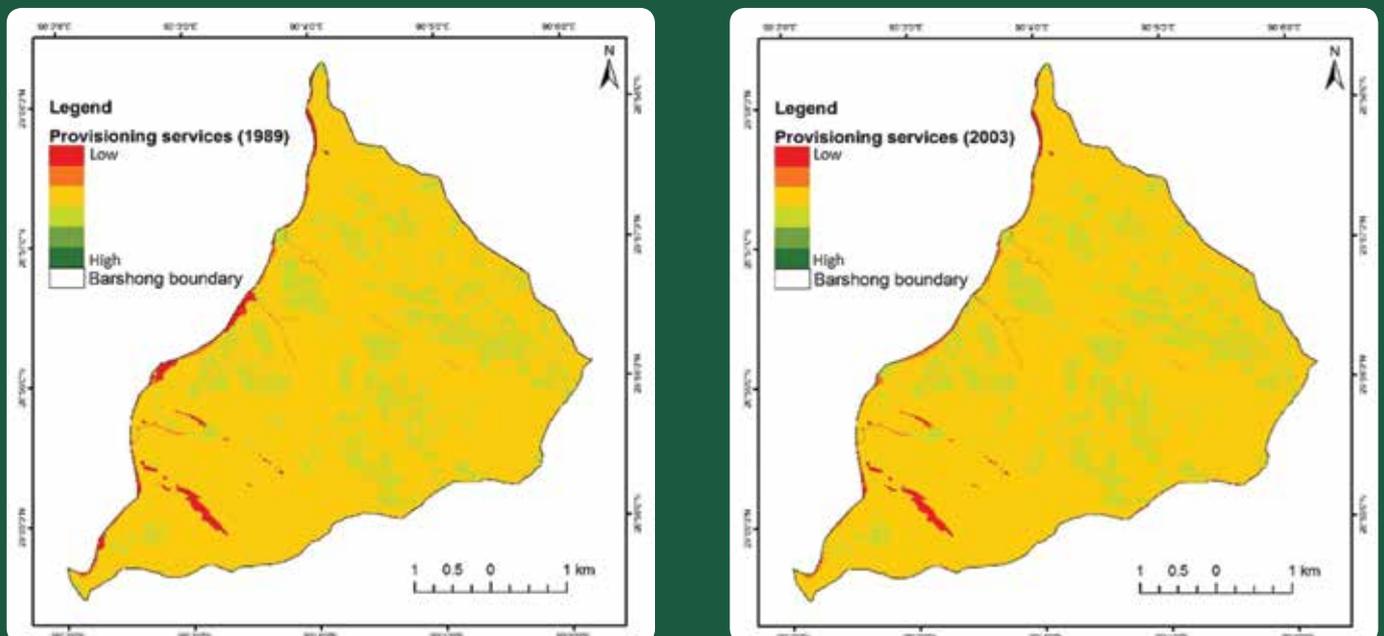
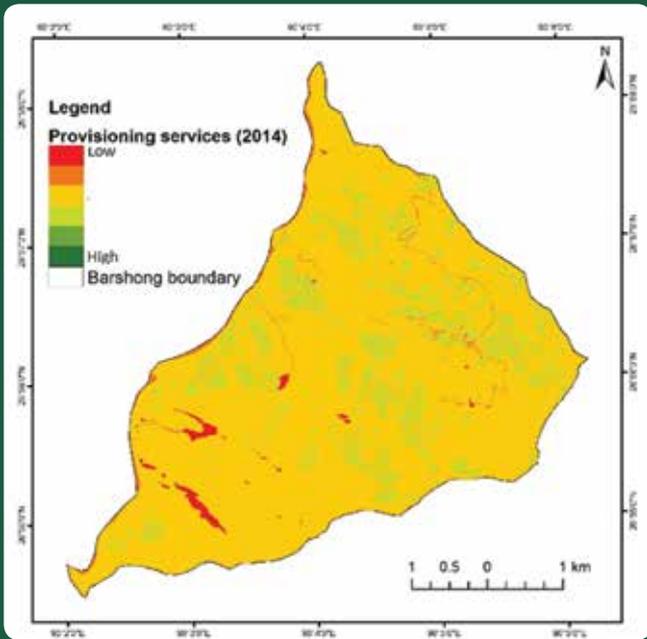


Figure 13: Provisioning services provided by ecosystems in Barshong (1989, 2003, 2014)

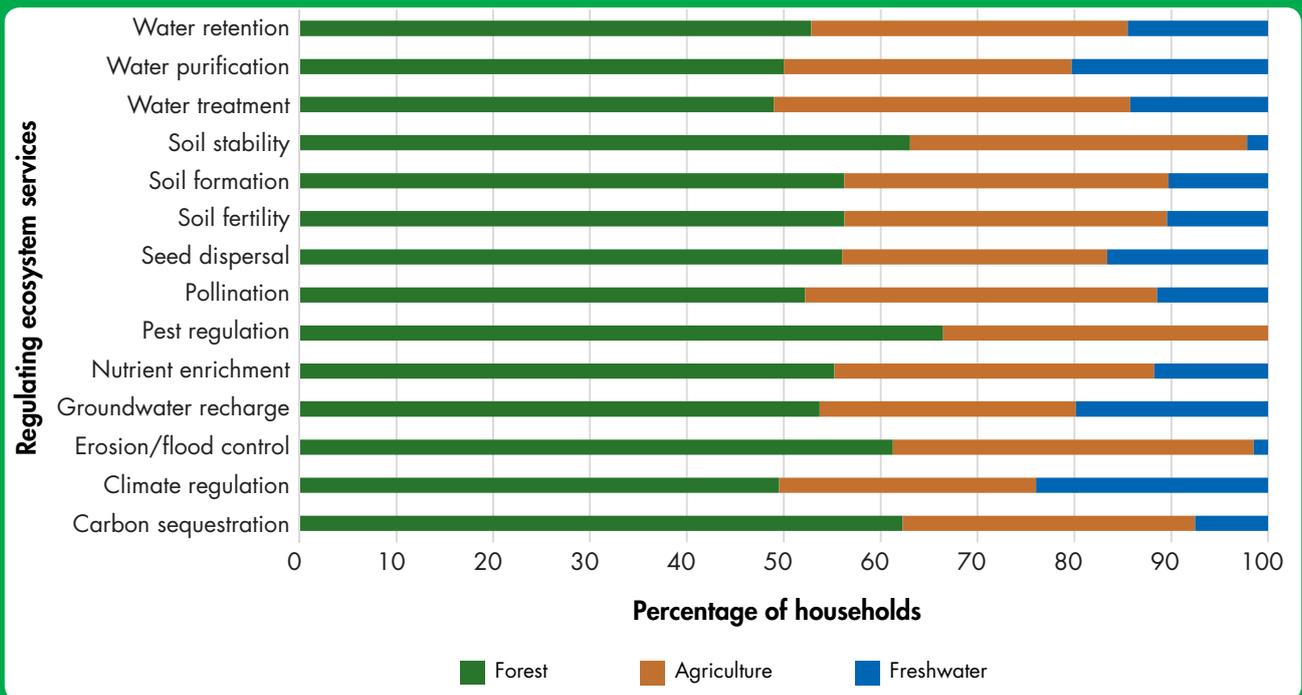




## Regulating Services

Respondents also place a high priority on intangible services from forest ecosystems. A similar comparison of regulating services was carried out for the three main ecosystems (Figure 14). The results show that respondents place high significance on forests in terms of flood control (93.6% of households), climate regulation (87.9% of households), maintaining soil stability (83.3% of households), soil fertility (83.3% of households), soil fertility and seed dispersal (77.5% of households), carbon sequestration, pollination, nutrient recycling and groundwater recharge. The regulating services for the years 1989, 2003, 2014 are shown in Figure 15.

Figure 14: Comparative importance of regulating services



## Supporting Services

In terms of supporting services, the majority of respondents were positive about the role of the forest ecosystem in ecosystem resilience, providing a habitat for species, supporting the hydrological cycle and soil formation (Figure 16). A considerable number of households also seemed to understand the significance of the freshwater ecosystem in terms of the four supporting services provided. The supporting services for the years 1989, 2003, 2014 are shown in Figure 17.

Figure 15: Regulating services provided by ecosystems in Barshong (1989, 2003, 2014)

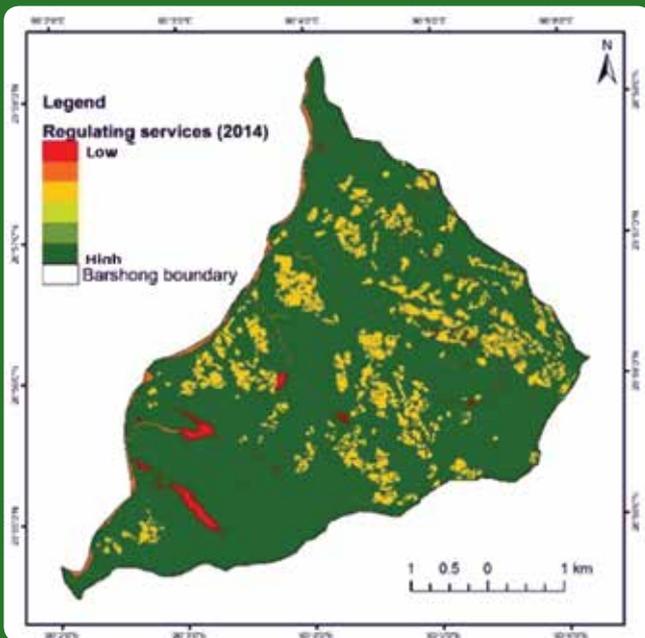
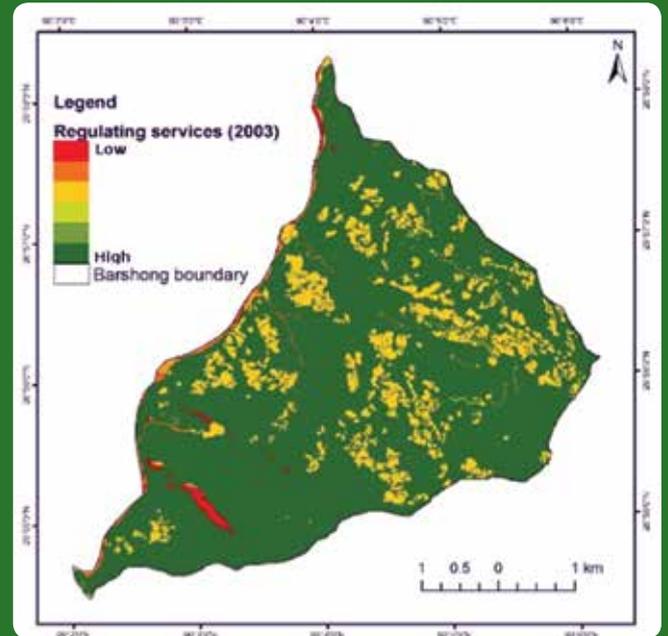
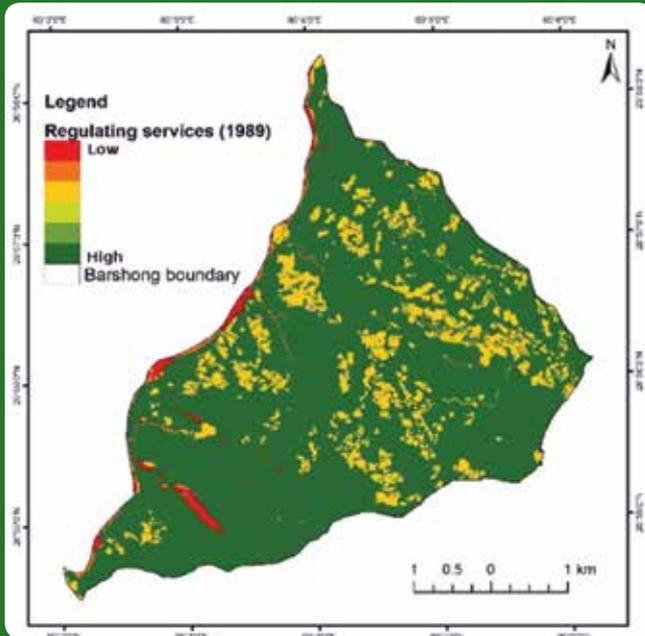
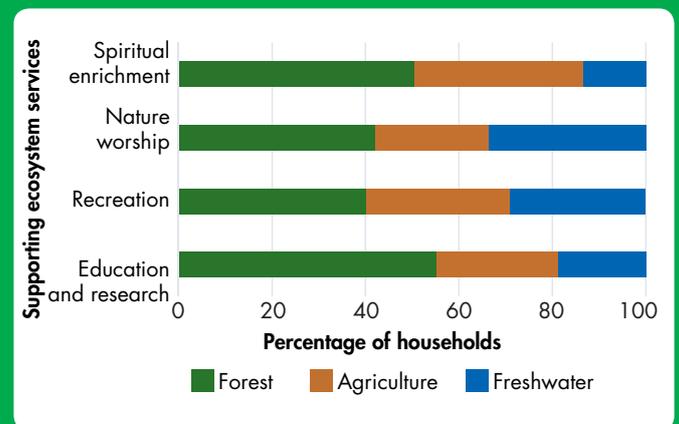


Figure 16: Comparative importance of supporting services



## Cultural Services

Forests also play the most important role in providing cultural services to local communities, including aesthetic beauty, spiritual renewal, and recreation. Over 90% of respondents seem to derive aesthetic services from forests and freshwater ecosystems (Figure 18). A marginal number of people (less than 23% also seem to understand the role of forests and freshwater in ecotourism, education, research, and recreation). Spiritual enrichment and nature worship are noteworthy services from all three ecosystems. The cultural services provided in the years 1989, 2003, 2014 are shown in Figure 19.

Figure 17: Supporting services provided by ecosystems in Barshong (1989, 2003, 2014)

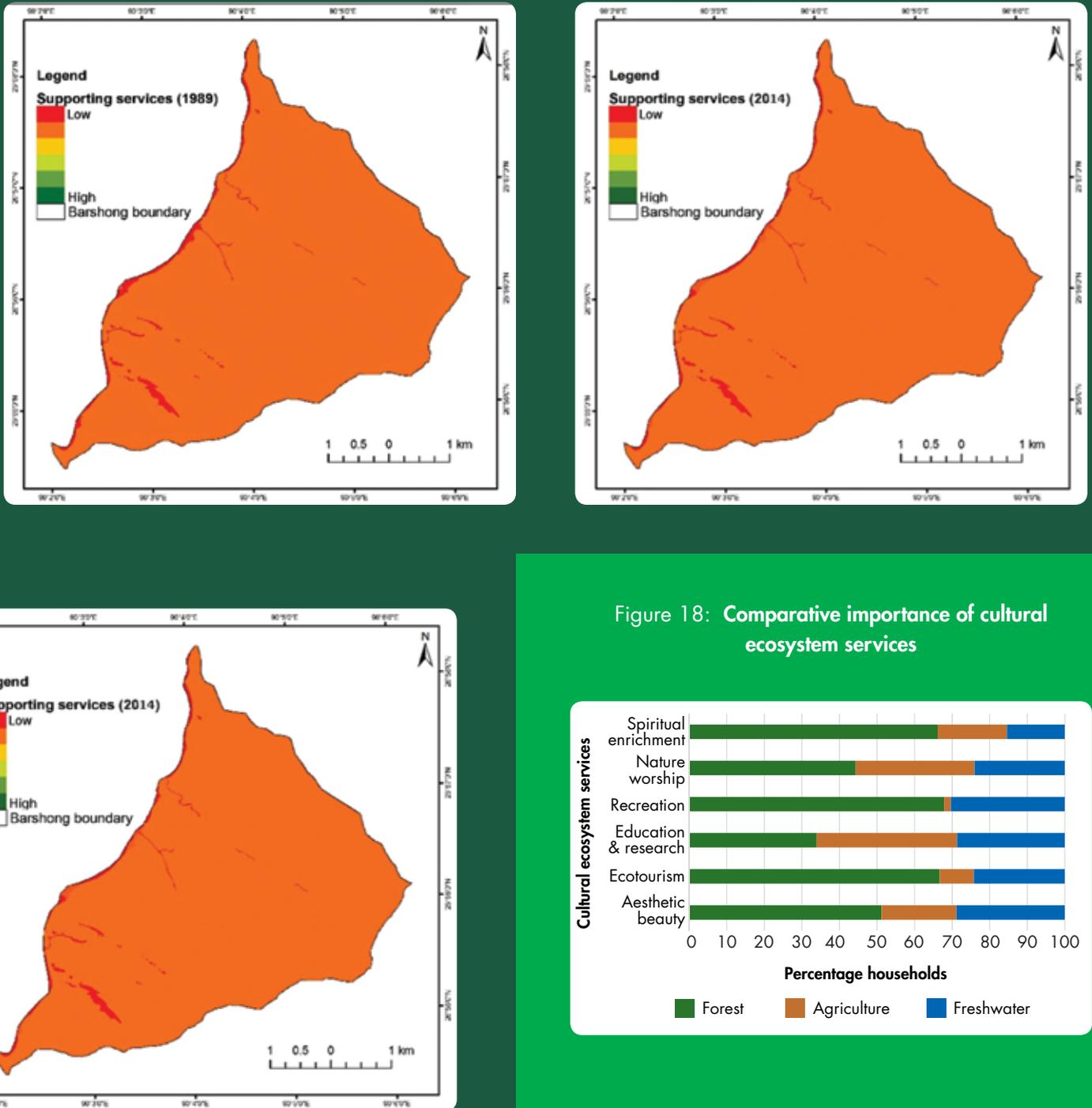
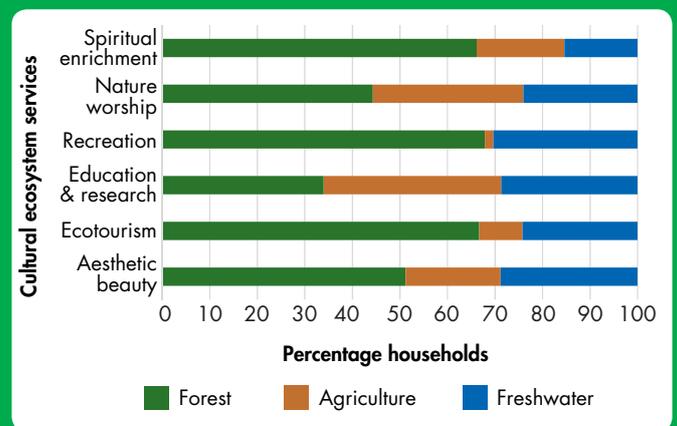


Figure 18: Comparative importance of cultural ecosystem services

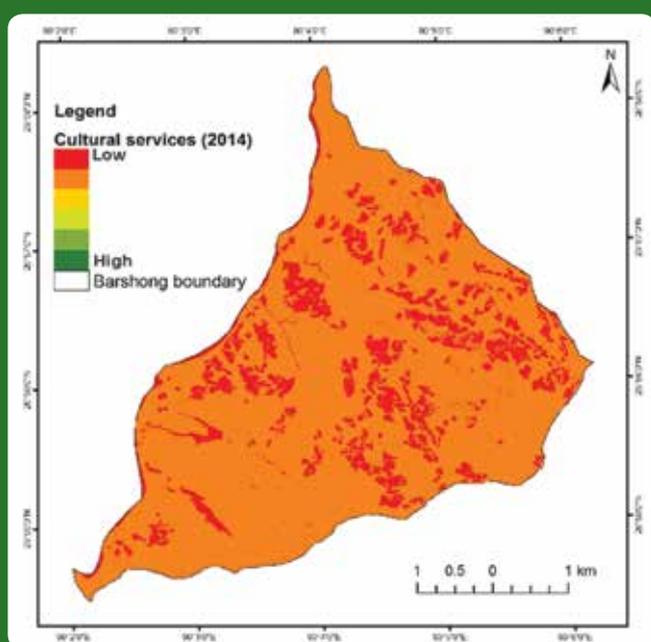
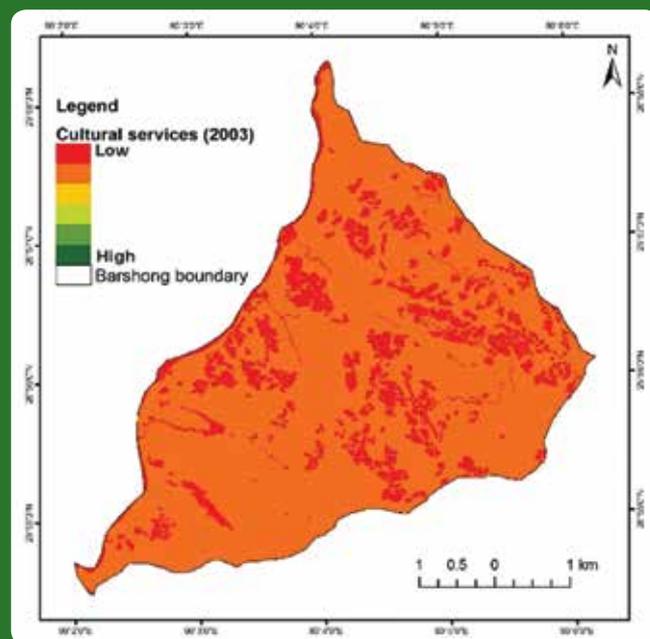
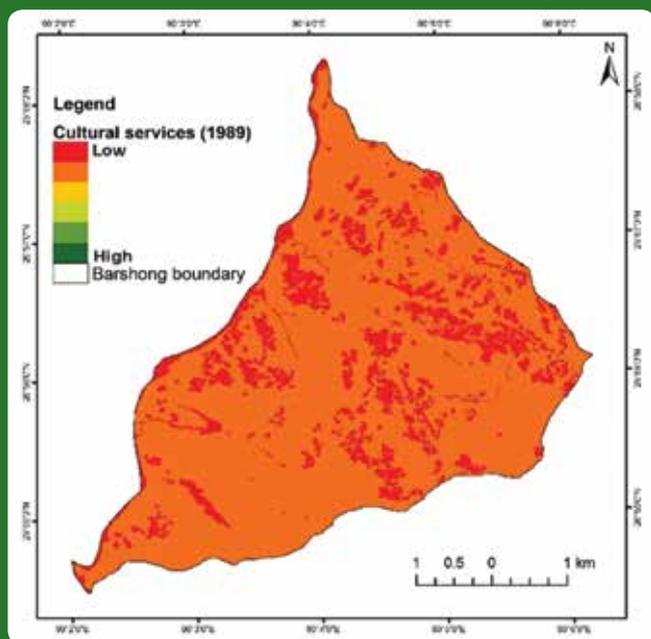


## Perceived social, cultural and ecological value of ecosystem services

### Plants

During the PRA process preceding the household survey, respondents identified four plant species used by them that are of social, cultural and ecological significance: chewri (*Diploknema butyracea*), peepal (*Ficus religiosa*), bel (*Limonia acidissima*) and banana (*Musa paradisiaca*). Peepal is perceived to be the most important plant species in terms of cultural significance. Over 75% of the respondents ranked it as very important and reported its use during worship, in rituals and marriage ceremonies. Peepal is also recognized as a socially important (for 47.6% of households) tree species owing to its religious significance (Figure 20).

Figure 19: Cultural services provided by ecosystems in Barshong (1989, 2003, 2014)



Bananas are the most significance in terms of perceived social and cultural importance. A total of 73% of households responded positively to its social significance, with 70% citing it as socially important. Bananas also received the most responses (45.3%) in terms of ecological significance.

### Livestock

Chickens, pigs, goats, and cows were identified during the PRA as the top four socio-culturally and ecologically important domestic animal species. Socially, all of these animals were considered very important to respondents (Figure 21), due to their economic benefits. Culturally, cows were perceived by most as important or very important, whereas other species (chickens, pigs, goats) were perceived as not important culturally. Cows, goats, and chickens are occasionally used for worshipping and rituals. Ecologically, all four species were perceived to be less important or not important.

### Ecosystems

All three ecosystems – forest, freshwater, and agricultural – are considered very important in terms of their contribution to social aspects of community life (Figure 22). A general impression of the social importance of forest ecosystems can be gained from the utilitarian services derived from forests, as described in the earlier section on the state of ecosystems. Food, medicine, fodder for livestock, construction materials and fuel were some of the uses cited by respondents.

Over 50% of respondents also perceived freshwater and forest ecosystems to be important for ecological purposes, although 18% said that these ecosystems are not important. More than 30% of households found forest and freshwater ecosystems culturally significant.

Figure 20: Perceived importance of plant species

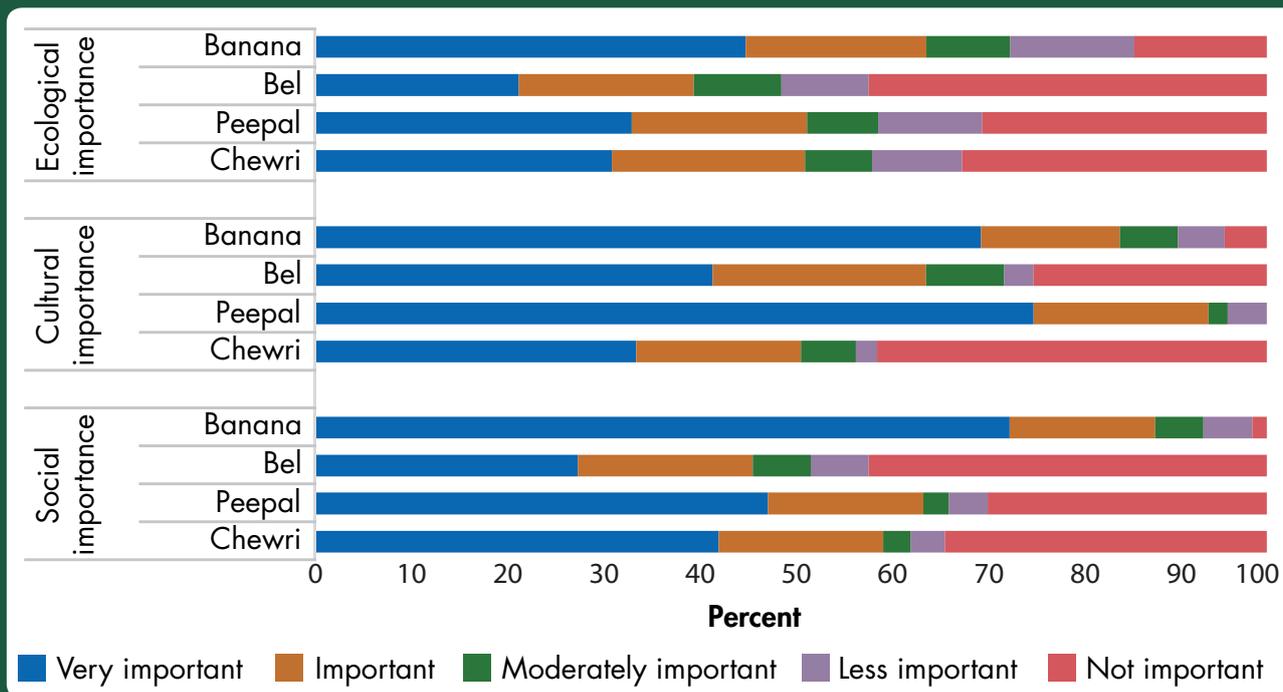


Figure 21: Perceived importance of livestock

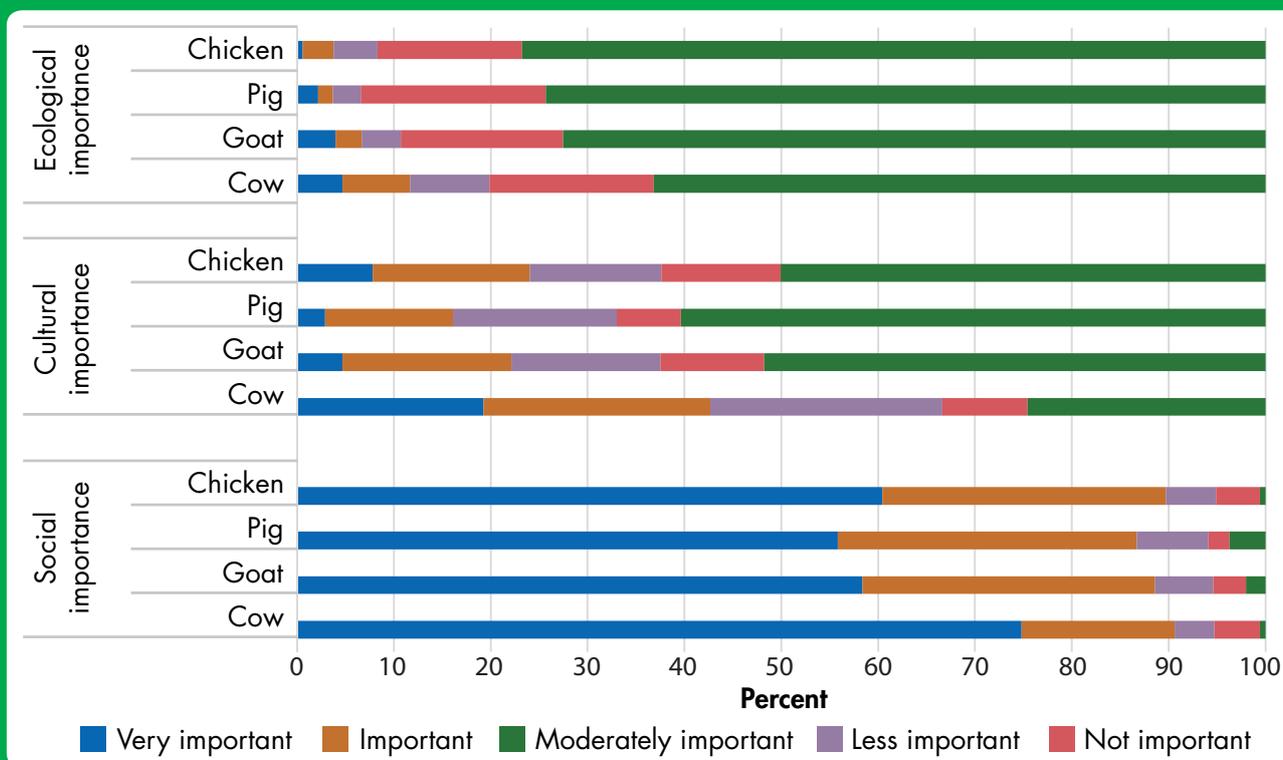
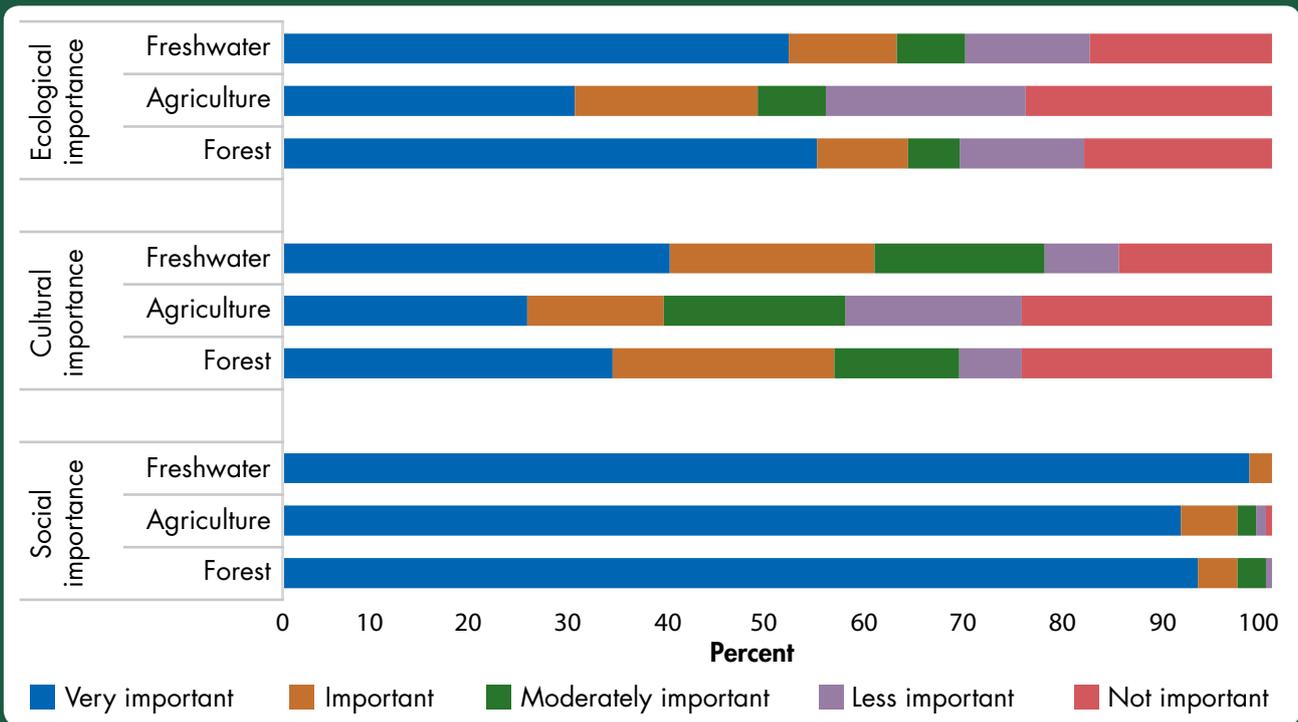


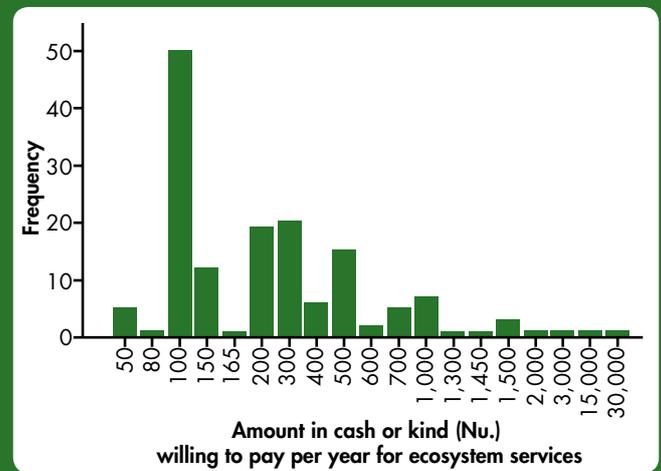
Figure 22: Perceived importance of ecosystems



### Willingness to pay (WTP) for ecosystem services

Over 87% of households were willing to pay for the management of the three main ecosystems and their services, compared to only 11% who were unwilling. Of the 152 households willing to pay, nearly 50% said that they would pay BTN 100 (USD 1.7), more than 20% said they would pay up to BTN 300 (USD 5), and less than 5% said they would pay more than BTN 3,000 (USD 50) per year for the management of ecosystems (Figure 23).

Figure 23: Willingness to pay for ecosystem services

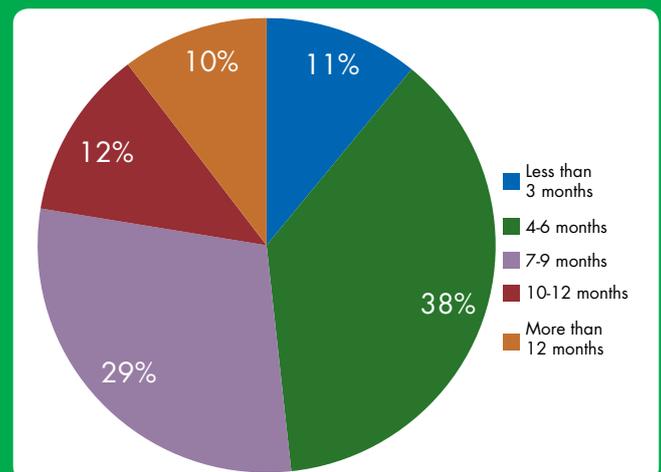


### Livelihood Vulnerability Assessment

#### Vulnerability assessment

The survey revealed that the food produced by farmers in the study area is not enough to sustain them. More than 75% of households reported food shortages, saying that they could live from their own food production for less than 9 months a year (Figure 24). The months of May, June and July are the most difficult for in terms of providing food for the household (Figure 25). Among those who face food shortages, only 3.4% reported it as a major issue, while 29.3% described it as a minor problem (Figure 26).

Figure 24: Number of months household can live from own food production



Related to this, and perhaps a cause of food shortages, when asked about crises faced, 29.3% said that they faced issues of poor production, mainly caused by lack of rainfall or access to water (22.9%), followed by human-wildlife conflict (2.3%), with at least one report of pest/disease attacks on oranges, which is considered a major source of income. Other crises cited were market fluctuations, illnesses in the family, and loss of livestock, but these were considered to be minor problems (Figure 26). Remittances and damage and degradation of natural ecosystems did not feature as issues, while job loss, loss of land, and death in the family were considered minor problems.

Overall, 28.7% of households adopted a minimum of two coping strategies to face crises. However, in response to food shortages, households adopted at least seven different short-term coping strategies (Table 13). The most common strategy for coping with food shortages was reliance on social safety nets and livelihood diversification, which mainly consisted of temporary labor migration and occupation change.

### Perceived changes in socioeconomic sectors

The households in Barshong perceived an improvement in all social and economic sectors in the last decade (Figure 27). Improved medical facilities and the presence of basic health units nearby were cited as reasons for the reduced frequency of illness (cited by 61.5% of households) and improved health. Increased water quality (cited by 85.1% of households) have contributed greatly to reducing water-borne illnesses.

Over 74% of households reported an improvement in access to forests as a result of the community forestry initiative and user interface. Respondents also reported improved food security (83.3%) due to improvement in renewable natural resource facilities (agriculture and livestock), improved irrigation (67.2%), and increased soil fertility (47.7%). However, 41.2% of households (30 households) also reported a decline in soil fertility due to loss of topsoil and lack of access to water.

Figure 25: Difficult months to provide adequate food

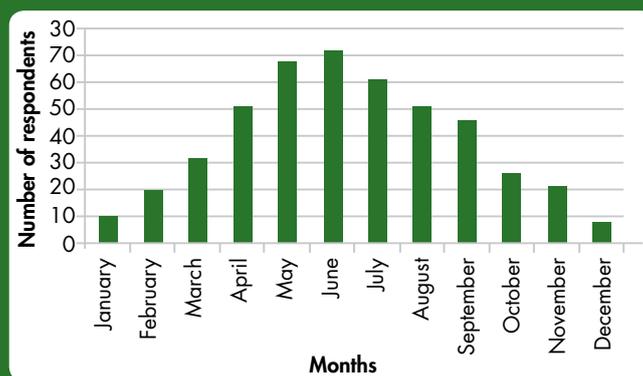


Figure 26: Types of crises faced by households

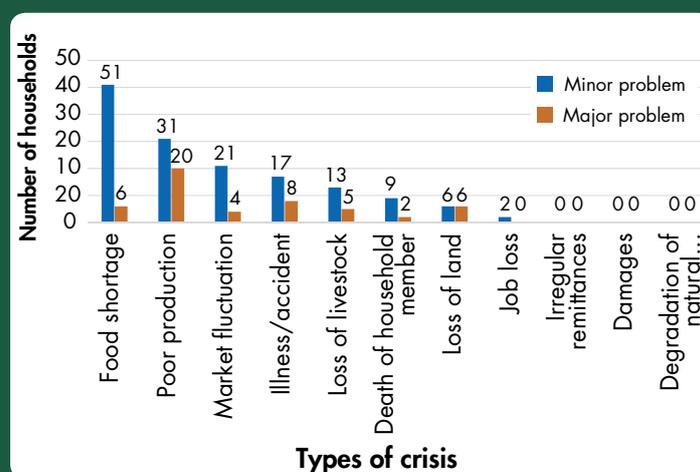
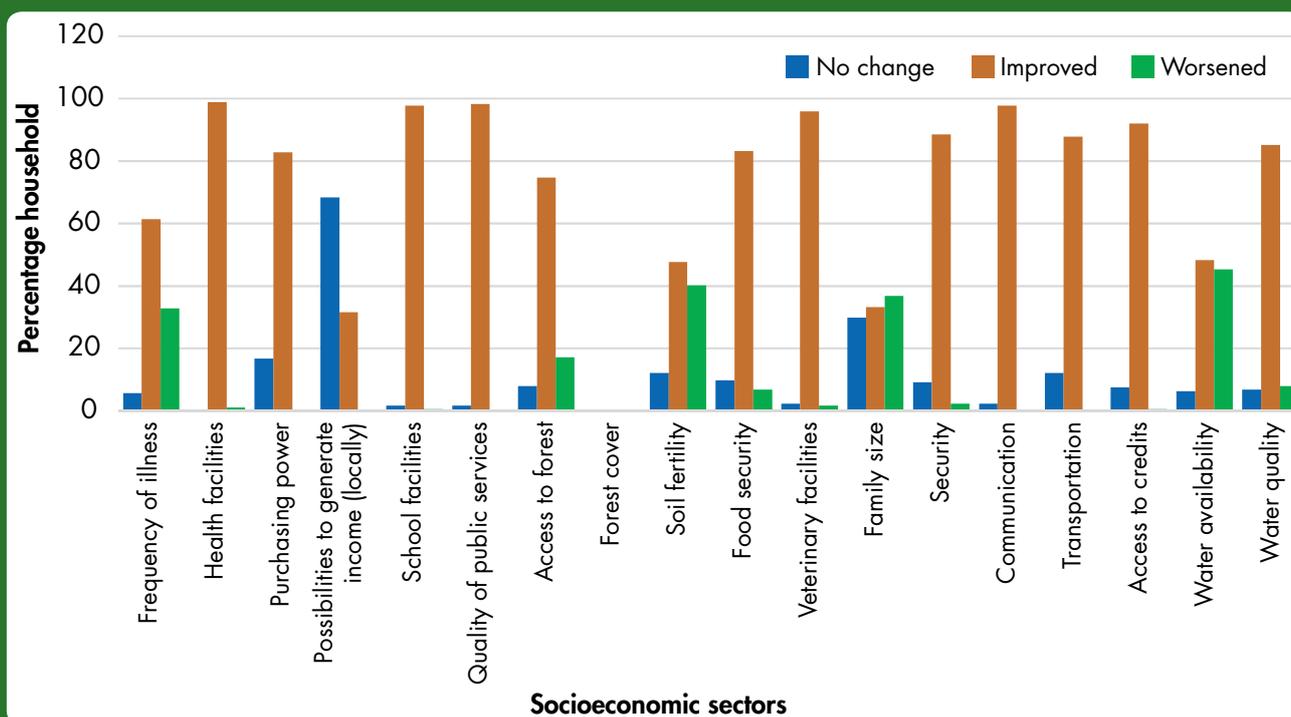


Table 13: Coping strategies (number of responses per crisis)

Coping strategies	Types of crisis							
	Take loan	Loan grain from kin	Adjust meals	Sell animals	Temporary labour migration	Lease additional farmland to use	Free support by family/community	Other strategy
Poor production	3	2	1	2	6	1	-	3
Food shortage	1	1	-	4	17	-	20	13
Illness/accident	2	1	-	2	2	-	8	10
Death of household member	-	1	-	1	1	-	1	2
Job loss	0	0	0	0	0	0	0	0
Irregular remittances	0	0	0	0	0	0	0	0
Market fluctuation/inflation	1	1	-	-	1	-	6	15
Loss of land	-	-	-	-	-	-	3	4
Loss of livestock	2	-	-	-	1	-	2	10

Figure 27: Long-term changes in socioeconomic sectors over last 10 years



It is interesting to note that although perceptions of opportunities to generate income locally have not changed much over the last 10 years, purchasing power has increased by 82.8% of households reporting improvement (Figure 27). With national inflation fluctuating between 5.15% (lowest) and 13.53% (highest) over the last 10 years, this increase in purchasing power may have been brought about by an increase in real income from remittances and the sale of agricultural products, as reported by respondents. At the same time, 92% of households said that access to credit has also improved. The vast majority of respondents also cited improvements in transportation (87%), access to information/communication (97%), and the quality of public services (98%). All of the respondents (N=174) reported an improvement in livelihoods from ecosystem management in the last 10 years.

### Proposed livelihood improvement activities

The top activities proposed to improve livelihoods were construction of farm roads (cited by 75.8% of households), improved water supply for drinking and irrigation (cited by 68.9% of households), the issuing of additional land for cultivation (cited by 4.5% of households), provision of electricity (cited by 4% of households), and construction/improvement of school facilities (cited by 2.3% of households).

Orchard is one of the major categories of landholdings in Barshong



Livestock rearing is one of the major livelihood strategies in Barshong



# Conclusion and Recommendations

This study identified those ecosystem services that are most important to communities in Barshong Gewog. However, the study was limited to the identification of the utility of ecosystem services. It did not identify key linkages between environmental quality and human wellbeing or look at the impact of changes in the quantity and quality of the ecosystem services on the livelihoods of people in the community. Understanding how trends in forest cover, water quality/quantity, and the health of natural areas might improve or detract from the wellbeing of people in the community is key to understanding the real value of the ecosystems studied.

Like most of rural Bhutan, forests in the study area face multiple threats. Over 90% of the households surveyed depend on forests for provisioning services, such as timber, fuelwood, fodder, and supplemental food. The demand for ecosystem services from forests for social and economic purposes can result in multiple drivers of land use change, which may lead to the degradation of forest ecosystems. The role of the community forestry initiative in improving the livelihoods of user communities and conserving biological diversity is high on the Dzongkhag agenda for the next five years.

Freshwater ecosystems deliver clean water for multiple purposes and are vital to human wellbeing. It is important for communities to understand how conserving freshwater ecosystems can result in the provision of multiple services, especially given the water shortages cited during the survey. The protection of water sources also needs to be high on the conservation agenda of the gewog and the dzongkhag if it plans to meet its agro-based objectives.

Agriculture ecosystems support over 90% of the population in Barshong Gewog. Besides providing cash and food crops, there is a need to understand and consider the role of agriculture ecosystems in species and habitat conservation, erosion regulation, pollination, pest and disease control, water purification/regulation, recreation and cultural diversity, and the lifecycle maintenance of cropland ecosystems, instead of focusing exclusively on their potential for food production.

Based on the findings of this study, the following actions are suggested:

- **Action 1. Diversify livelihood options to reduce poverty and stress on forest resources:** Tsirang Dzongkhag has higher poverty, lower literacy, lower mean annual household income, and higher unemployment rates than the rest of Bhutan (NSB 2013). As agriculture and livestock are the main sources of livelihood, enhancing production is a priority in the 11th Five Year Programme for the Dzongkhag. Towards this, there are national plans in place to improve farm roads and the water supply, as well as for the formation of cooperatives. Given the favorable climatic conditions in the area and its strategic location between the capital and Sarbang (bordering India), there is also an opportunity to develop tourism (GNHC 2013). Opportunities to establish cottage, small, and medium-sized industries have also been identified in the 11th Five Year Plan, with the completion of an electricity transmission line between Basochhu and Tsirang and the commissioning of the Dagachhu hydroelectricity project.
- **Action 2. Explore opportunities for payment for ecosystem services:** Payment for ecosystem services (PES) has been found to be feasible in a number of places in Bhutan. Under this mechanism, land users are paid incentives for good environmental practices and compensated for the environmental costs they bear. Opportunities for PES exist in Barshong in relation to the provision of: watershed services for drinking water, agriculture and hydropower; soil erosion control and flood regulation for populations living downstream; carbon sequestration and storage for international carbon markets (given that 85% of the gewog is under forest cover); and aesthetic services for tourism and recreation.

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# Annex 1: Household Survey Questionnaire

## Community Assessment of Ecosystem Services: Household Survey Questionnaire

Kuzuzangpola,

We are conducting an ecosystem services assessment survey in your community. The purpose is to assess the status of ecosystems and their services and we request you to take part in the household survey. If you agree to take part in the survey, we would like to ask you some questions, which will take around an hour. The questions that you answer are completely your views and perceptions; there are no any right or wrong answers as such. The information, opinion, and knowledge you provide will be helpful for us to come up with a detailed assessment of ecosystem services in this community. Your responses will remain completely anonymous and only the aggregated results will be published in the report and in papers.

Would you like to take part in this interview?  Yes  No

If the respondent decides not to take part in the interview, please thank the respondent in a polite way then proceed to the next household.

Thank you

### Basic information

a	Date (dd/mm/yy):		h	Household code:	
b	Name of interviewer:		i	Name of respondent:	
c	Name of country:		j	Sex:	1 <input type="checkbox"/> Male 2 <input type="checkbox"/> Female
d	District/gewog		k	Age:	
e	Village:		l	Caste / tribe:	
f	Chiwog:		m	Contact number:	
g	GPS data:	Latitude:	Longitude:		Altitude:

### Demographic information

Please use the following codes:

\* 0= Male; 1= Female

\*\* Marital status: 0= Single; 1= Married; 2= Divorced/widowed

\*\*\* 0= No; 1= Yes

\*\*\*\* 1 = Farming; 2 = Household activity; 3 = Wage labour; 4 = Petty business (specify);

5= Salaried employee; 6 = Studying; 7 = Remittances; 8= Infant; 0 = Other (specify)

No.	Name of HHs members	Age (years)	Sex*	Marital status**	Can read and write***	If yes, level of education (years of schooling)	Occupation / main activity****		Remarks
							1st	2nd	
1									
2									
3									
4									
5									

Note: Start with household head first, then spouse and other member (members who stayed outside the village for more than 6 months can be excluded)

Does your household have access to any agricultural land? 0  No 1  Yes

If yes, provide the following information:

Land type	Total area (local area)					Remarks
	Total owned	Self-cultivated	Uncultivated	Rented in	Rented out	
Irrigated terraces (wetland)						
Rainfed terraces (dry land)						
Other types (private forest)						
Orchard						

What are the major crops grown and their area coverage?

Major crops	Area under crop (in local unit)

Does your household have any livestock? 0  No 1  Yes

If yes (Please use given codes: \*1 = Stall feeding, 2 = Grazing, 3 = Both)

Type	Present number	Number in the past 5 years	Way of feeding*	Average income from animal and animal products/ annum (in BTN)	Remarks (if both, rate number of months against each category)
Sheep					
Goats					
Cows/bulls					
Buffalo					
Donkeys/mules					
Pigs					
Poultry					
Other (specify):					

How much money (cash income in local currency) in gross does your household earn per year? Please tick one of the following

- Less than 20,000
- Between 21,000–49,000
- Between 50,000–99,000
- More than 100,000

What are the major sources of drinking water? And volume collected/used per day?

Sources of water	Local unit/litres
Piped water	
Stream /river	
Spring	
Bore hole/well	
Pond/dam	
Wetland	
Lake	
Other (please specify)	

## State of ecosystems and services

What are the major ecosystems/land use in terms of coverage in the study area and how important are they in providing ecosystem goods and services? (Please use given codes:

\* 4= very important, 3= important, 2= moderately important, 1= less important, 0 = not important

\*\*4= highly dependent, 3= dependent, 2= moderately dependent, 1= less dependent, 0 = not dependent)

Major ecosystems	Rank in terms of their importance to provide services *	Rank in terms of the dependency on the services **	Remarks/reasons
Forest			
Agriculture land			
Grassland/rangeland			
Shrubland			
Freshwater (river/stream)			
Wetland			
Settlements			
Degraded or bareland			
Others (specify)			

Has there been any change in these ecosystems/ land use in terms of their area over the last 10 years? (\*Please use given codes: 0= No change; 1= Increasing; 2= Decreasing, 3= No idea)

Major ecosystems	Change*	Reasons for change (change in hectare/acre/or local units; specify)
Forest		
Agriculture land		
Grassland/rangeland		
Shrubland		
Freshwater (river/stream)		
Wetland		
Settlements		
Degraded or bareland		
Other (specify)		

(Only forest, agriculture land and freshwater ecosystems were selected for this assessment)

## Information on ecosystem services, sources and their status

What are the major ecosystem services provided by these ecosystems?

(Please use the following code: 0= No; 1= Yes)

Ecosystem services (Provisioning)	Major ecosystems								Remarks
	Forest	Agriculture	Grassland	Shrubland	Freshwater	Settlement	Degraded land	Other	
Fuelwood									
Fodder									
Grazing									
Timber/poles									
Leaf litter									

Medicinal plants									
Ornamental plants									
Wild edible fruits and vegetables									
Mushrooms									
Fibre									
Thatch									
Bush meat									
Dyes									
Paddy									
Cereals									
Vegetables									
Fish									
Drinking water									
Water for bathing									
Water for irrigation									
Boulders									
Sand									
Other (specify)									
Other (specify)									

What are the major ecosystem services provided by these ecosystems?

(Please use the following code: 0= No; 1= Yes)

Ecosystem services (regulating)	Major ecosystems								Remarks
	Forest	Agriculture	Grassland	Shrubland	Freshwater	Settlement	Degraded land	Other	
Carbon sequestration									
Climate regulation									
Erosion/flood control									
Groundwater recharge									
Nutrient enrichment									
Pest regulation									
Pollination									
Seed dispersal									
Soil fertility									
Soil formation									
Soil stability									
Waste treatment									
Water purification									
Water retention									
Other (specify)									

What are the major ecosystem services provided by these ecosystems?

(Please use the following code: 0= No; 1= Yes)

Ecosystem services (supporting)	Major ecosystems								Remarks
	Forest	Agriculture	Grassland	Shrubland	Freshwater	Settlement	Degraded land	Other	
Ecosystem resilience									
Habitat for species									
Hydrologic cycle									
Soil formation									
Other (specify)									

**What are the major ecosystem services provided by these ecosystems?**

(Please use the following code: 0= No; 1= Yes)

Ecosystem services (cultural)	Major ecosystems								Remarks
	Forest	Agriculture	Grassland	Shrubland	Freshwater	Settlement	Degraded land	Other	
Aesthetic beauty									
Ecotourism									
Education & research									
Recreation									
Nature worships									
Spiritual enrichment									
Other (specify)									

### Information on ecosystem services use and market values

**What are the five most important provisional services contributing to economic wellbeing of your household?**

(Please use the following code: \*Collected by: 0= Male; 1=Female; 2= Both \*\*Frequency: 1= daily; 2= weekly, 3= Monthly; 4= Once in 6 months; 5= Once a year)

Services	Mainly collected by*	Frequency**	Quantity in local unit	Season	Time required (hours) per collection	Remarks

### Estimating value according to local people's willingness to pay for key ecosystem function and services

Let us assume your ecosystems are fully managed by a committee of people from your community to ensure that there will be assured/improved provision of ecosystem services such as fuelwood, fodder, water etc. to your community and you would like to use the services from the system. In that case are you willing to pay either in cash or kind (i.e., labour days contribution per year) for the services you use? This will help the committee to operate / maintain healthy ecosystem. We would like you to answer these questions at your ease; there are no any wrong or right answers.

(\* , \*\*: Please use the following code: 0= No; 1= Yes; 2= Don't know)

If you do not want to pay, what is/are the reason/(s)?

- I do not think it is important to preserve the ecosystems
- These are the free resources from the nature why should I pay
- I cannot afford to give money to preserve the ecosystems
- Preserving ecosystems has value to me, but it is not for me to finance preservation
- Other (Please specify) .....

If you are asked to pay/contribute, why did you decide to make a maximum BTN \_\_\_\_\_ per year (in kind or cash)?

(Here we can pose question like: If you are ask to contribute, how much you will contribute both in terms of cash or kind? (in kind we can mention labour days contribution/year)

- This is the value that I attribute to the particular ecosystem service
- This is the maximum amount that I can afford to give
- This is the amount I normally pay for good causes
- I chose this amount at random
- Other (Specify) .....

Who do you think should manage the fund generated for managing healthy ecosystem?

- Local community
- Government
- User committee
- Gewog administration
- Others (please specify)

**Information on ecosystem services and their socio-cultural and ecological values**

Note: Socially important (important for subsistence livelihood); culturally important (important in tradition and culture such as sacred plant, animal, river, sacred groves); ecologically important (species or ecosystems that people think important for ecological balance and resilience)

**Are there any species that are socio-culturally and ecologically valuable?** (List five most important species only)

(Please use the codes: 4= Very important, 3= Important, 2= Moderately important, 1= Less important, 0 = Not important)

	Socially important			Culturally important			Ecologically important			Indicate the reason
Plant species										
Animal species										

Ecosystems										
Sacred sites										
Others										

**Vulnerability context**

**Crisis, shocks, security**

Number of months per year in which the household can live from own food production:													
< 3 months													
4–6 months													
7–9 months													
10–12 months													
> 12 months													
Most difficult months to provide adequate food for the household:													
1 <input type="checkbox"/> JAN			2 <input type="checkbox"/> FEB			3 <input type="checkbox"/> MAR			4 <input type="checkbox"/> APR		5 <input type="checkbox"/> MAY		
6 <input type="checkbox"/> JUN			7 <input type="checkbox"/> JUL			8 <input type="checkbox"/> AUG			9 <input type="checkbox"/> SEP		10 <input type="checkbox"/> OCT		
11 <input type="checkbox"/> NOV			12 <input type="checkbox"/> DEC										

**How did you cope during the difficult months?**

- Coping strategy:
- 1 = Taking loan
  - 2 = Grain loan from kin
  - 3 = Adjustment to meals
  - 4 = Cash or cereal loan from merchants
  - 5 = Farmland mortgage out
  - 6 = Farmland leased out
  - 7 = Sold household assets
  - 8 = Sold animals
  - 9 = Sold jewellery
  - 10 = Sold farmland
  - 11 = Occupation change
  - 12 = Temporary labour migration
  - 13 = Permanent labour migration
  - 14 = Begging
  - 15 = Free support by any organization
  - 16 = Free support by family/kin/neighbours/community
  - 17 = Other (specify)

**What kind of crises have you experienced during the past 12 months and how did you cope with it?**

(Please use the codes: 0 = No problem, 1=Minor problem, 2= Major problem;

- Coping strategy:
- 1 = Taking loan
  - 2 = Grain loan from kin
  - 3 = Adjustment to meals
  - 4 = Cash or cereal loan from merchants
  - 5 = Farmland mortgage out
  - 6 = Farmland leased out
  - 7 = Sold household assets
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  - 12 = Temporary labour migration
  - 13 = Permanent labour migration
  - 14 = Begging
  - 15 = Free support by any organization
  - 16 = Free support by family/kin/neighbours/community
  - 17 = Other (specify)

	Crisis	Problem*	Coping strategy	Remarks
a.	Poor production (specify): .....			
b.	Shortage of food			
c.	Illness/accident of a household member			
d.	Death of a household member			
e.	Arrest of household member			
f.	Loss of job			
g.	Irregular remittance			
h.	Market fluctuation/inflation			
i.	Loss of land			
j.	Loss of livestock			
k.	Damage, specify: .....			
l.	Degradation of natural ecosystem			
m.	Political crisis/insecurity, specify: .....			
n.	Other, specify: .....			

### Long-term changes

Considering the last ten years – what has changed in regard to: (Please use the codes: 0= No change; 1= Improved/ Increased/ Better/ Higher; 2=Worsened/ Declined/ Smaller/ Lower)

	Area	Quality of change	Remarks
a	Frequency of illness		
b	Health facilities		
c	Purchasing power		
d	Possibilities to generate income (locally)		
e	Possibilities to generate income (remittances)		
f	School facilities		
g	Quality of public services		
h	Access to forest		
i	Forest cover		
j	Soil fertility		
k	Food security		
l	Veterinary facilities		
m	Family size		
n	Security		
o	Communication, access to relevant information		
p	Transportation		
q	Access to credits		
r	Water availability		
s	Water quality		
t	Irrigation facilities		
u	Participation in decision-making		
v	Other (specify)		

**General**

Are there any ecosystem management related activities carried out to support the livelihood enhancement in your areas? 0  No 1  Yes

Has the livelihood of your household improved through ecosystem management compared to the situation 10 years ago? 0  No 1  Yes

What activities would be most helpful to improve the livelihood of your household?

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Possibility to come back in order to clarify some points: 0  No 1  Yes

THANK YOU





Himalica is funded by the European Union



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**ISBN** 978 92 9115 477 7